

# Body-ordered approximations of atomic properties

Jack Thomas

Joint work with Christoph Ortner (University of British Columbia)  
and Huajie Chen (Beijing Normal University)

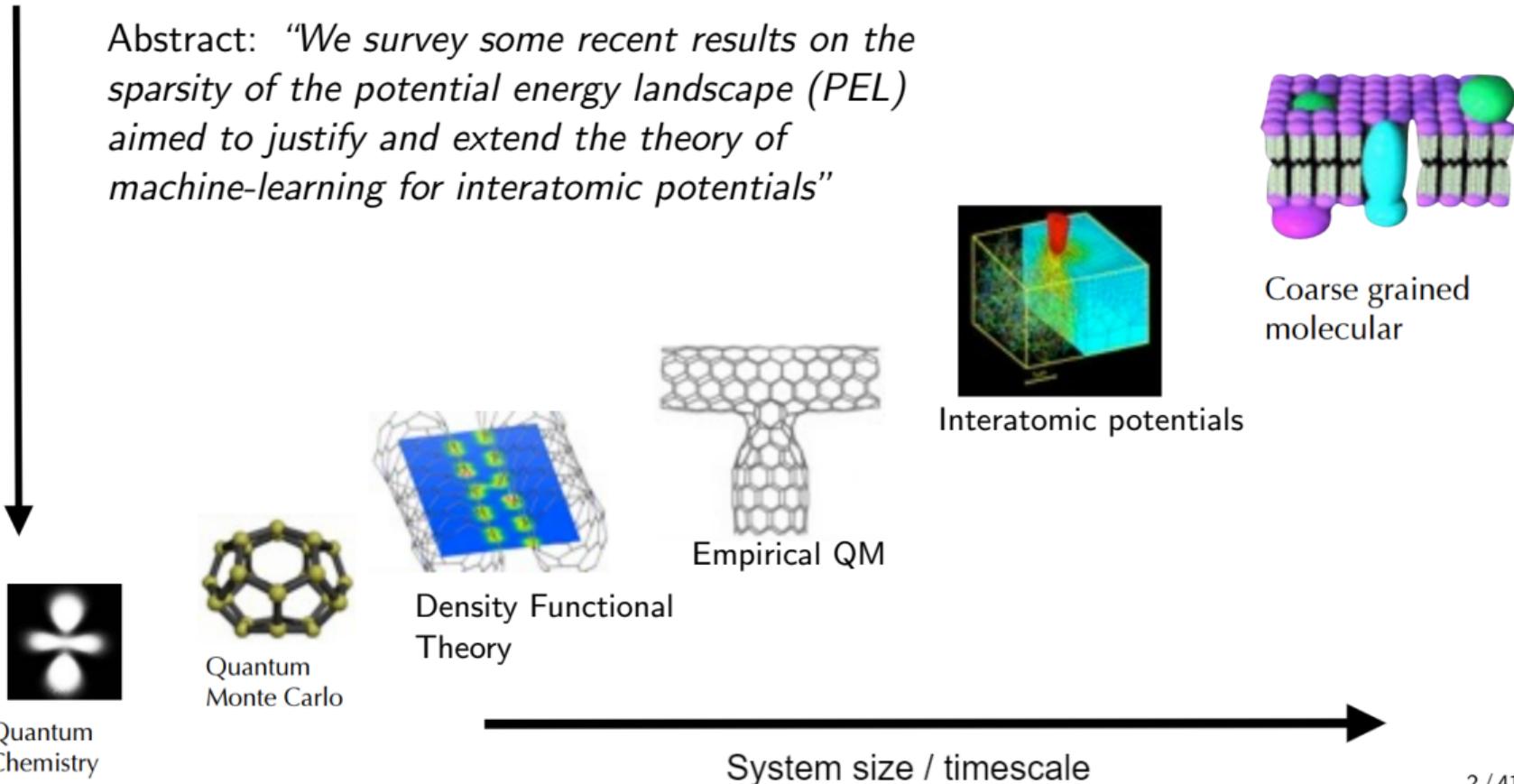
Journée de rentrée de l'équipe AN-EDP ,  
Octobre 2023



# Motivation

Abstract: "We survey some recent results on the sparsity of the potential energy landscape (PEL) aimed to justify and extend the theory of machine-learning for interatomic potentials"

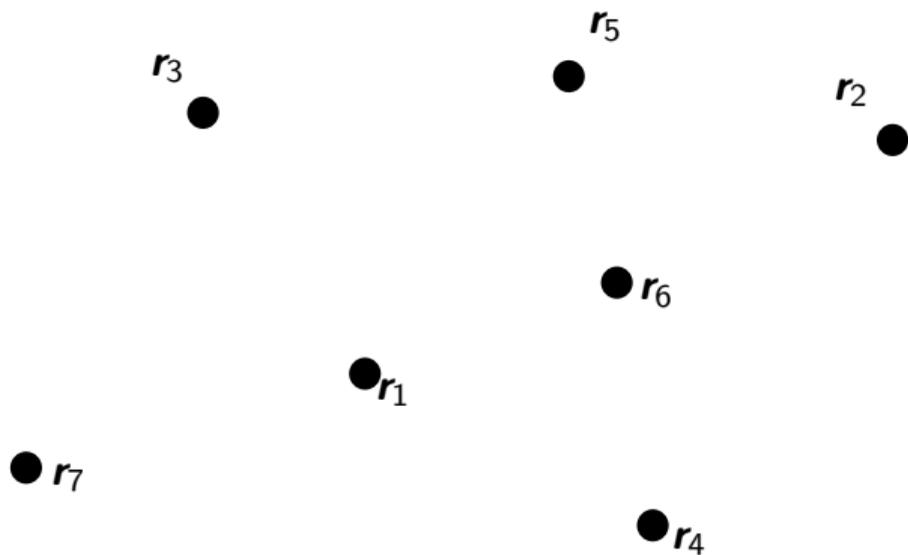
Accuracy



- 1 Introduction
- 2 Locality
- 3 Body-ordered approximation
  - Linear schemes
  - Nonlinear schemes
  - Examples
- 4 Polynomial Approximation
  - Logarithmic potential theory
  - Schwarz–Christoffel mappings
- 5 Conclusions

# Notation

$$r = \{r_\ell\} \subset \mathbb{R}^d$$

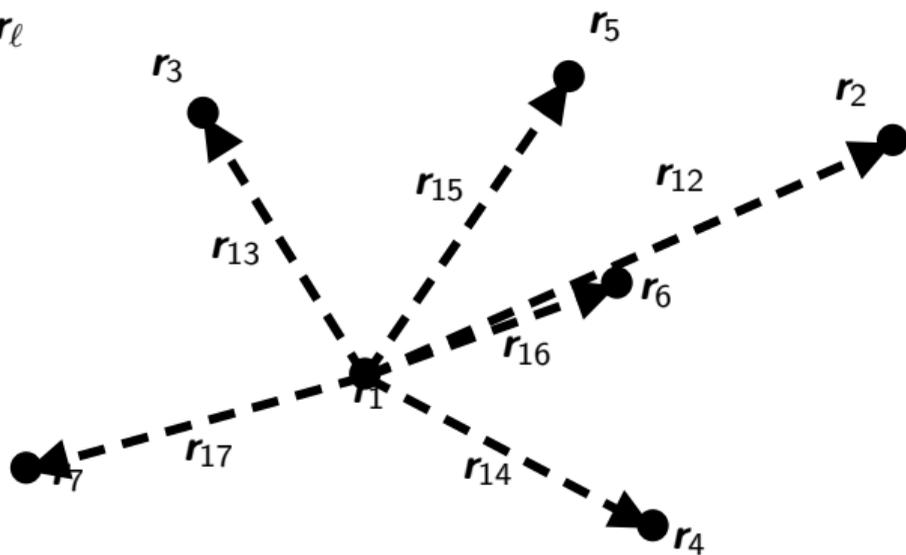


# Notation

$$\mathbf{r} = \{\mathbf{r}_e\} \subset \mathbb{R}^d$$

$$\mathbf{r}_{ek} := \mathbf{r}_k - \mathbf{r}_e$$

$$r_{ek} := |\mathbf{r}_{ek}|$$

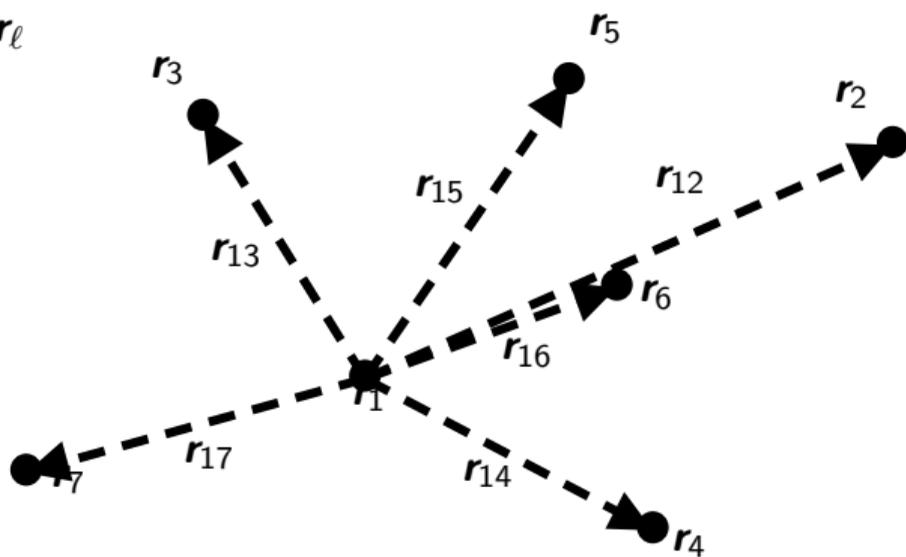


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Interatomic potentials:

$$E(\mathbf{r}) = \sum_l E_l(\{\mathbf{r}_{lk}\}_{k \neq l})$$

# Classical Interatomic Potentials:

$$\mathbf{r} = \{\mathbf{r}_j\} \subset \mathbb{R}^d - \text{nuclei}$$

$$\mathbf{r}_{lk} := \mathbf{r}_k - \mathbf{r}_l \text{ and } r_{lk} := |\mathbf{r}_{lk}|$$

Embedded Atom Method (EAM):

$$E_\ell(\mathbf{r}) = F\left(\sum_{k \neq \ell} \rho(r_{\ell k})\right) + \frac{1}{2} \sum_{k \neq \ell} \phi(r_{\ell k})$$

Daw, Baskes. Phys. Rev. Lett. 50 (1983)

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TABLE I. Quantities used for determination of the functions and their fitted values: lattice parameter  $a_0$ ; elastic constants  $C_{11}$ ,  $C_{12}$ , and  $C_{44}$ ; sublimation energy  $E_s$ ; vacancy formation energy  $E_{1V}^F$ ; the energy difference between bcc and fcc phases for Ni; and the hydrogen heat of solution and migration energy in Ni.

	Experiment	Fit
$a_0$ (Å)	3.52 <sup>a</sup>	3.52
$C_{11}$ ( $10^{12}$ dynes/cm <sup>2</sup> )	2.465 <sup>b</sup>	2.452
$C_{12}$ ( $10^{12}$ dynes/cm <sup>2</sup> )	1.473 <sup>b</sup>	1.452
$C_{44}$ ( $10^{12}$ dynes/cm <sup>2</sup> )	1.247 <sup>b</sup>	1.233
$E_s$ (eV)	4.45 <sup>c</sup>	4.45
$E_{1V}^F$ (eV)	1.4 <sup>d</sup>	1.43
$(E_{\text{bcc}} - E_{\text{fcc}})$ (eV)	0.06 <sup>e</sup>	0.14
H heat of solution (eV)	0.16 <sup>f</sup>	0.22
H migration energy (eV)	0.41 <sup>g</sup>	0.41

<sup>a</sup>Ref. 13.

<sup>e</sup>Ref. 17.

<sup>b</sup>Ref. 14.

<sup>f</sup>Ref. 18.

<sup>c</sup>Ref. 15.

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$$E_\ell(\mathbf{r}) = \sum_{k \neq \ell} A(Br_{\ell k}^{-p} - r_{\ell k}^{-q})f_a(r_{\ell k}) + \sum_{\substack{k,m,n: \\ \ell \in \{k,m,n\}}} \lambda \left(\cos \theta_{kmn} + \frac{1}{3}\right)^2 f_a(r_{mk})^\gamma f_a(r_{mn})^\gamma$$

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Overall, the most satisfactory parameter set thus far discovered is the following:

$$A = 7.049\,556\,277, \quad B = 0.602\,224\,5584,$$

$$p = 4, \quad q = 0, \quad a = 1.80, \tag{2.7}$$

$$\lambda = 21.0, \quad \gamma = 1.20.$$

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Machine Learning:

$$E_\ell(\mathbf{r}) = E_\ell(\mathbf{r}; \boldsymbol{\theta})$$

universal approximator

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neural network

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kernel method

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Machine Learning:

$$E_\ell(\mathbf{r}) = E_\ell(\mathbf{r}; \boldsymbol{\theta})$$

symmetric polynomials

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Bartok, Kondor, Csanyi. Phys. Rev. Lett. 104 (2010)

Braams, Bowman. Int. Rev. Phys. Chem. 28 (2009)

Shapeev. Multiscale Model. Simul., 14 (2016)

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Machine Learning:

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Atomic cluster expansion (ACE)

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Braams, Bowman. Int. Rev. Phys. Chem. 28 (2009)

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Drautz. Phys. Rev. B 100 (2019)

Dusson *et al.* J. Comp. Phys. 454 (2022)



## Atomic cluster expansion: Completeness, efficiency and stability<sup>☆</sup>

Geneviève Dusson<sup>a,\*</sup>, Markus Bachmayr<sup>b</sup>, Gábor Csányi<sup>c</sup>, Ralf Drautz<sup>d</sup>,  
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Atomic cluster expansion: Completeness, efficiency and stability<sup>☆</sup>Geneviève Dusson<sup>a,\*</sup>, Markus Bachmayr<sup>b</sup>, Gábor Csányi<sup>c</sup>, Ralf Drautz<sup>d</sup>, Simon Etter<sup>e</sup>, Cas van der Oord<sup>c</sup>, Christoph Ortner<sup>f</sup>

“All interatomic potential models make various (often ad hoc) assumptions on the PES regarding low-rank structures and locality of interactions. In general, one aims to represent a complex fully many-body PES  $E$  (exactly or approximately) as a combination of “simple” components, e.g., low-dimensional or low-rank. Here, we shall assume that  $E$  can be written in the form of a body-order expansion,

$$E(\{\mathbf{r}_1, \dots, \mathbf{r}_J\}) = \sum_{\ell=1}^J E_{\ell}(\{\mathbf{r}_{\ell k}\}_{k \neq \ell})$$

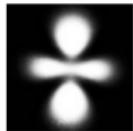
$$E_{\ell}(\{\mathbf{r}_{\ell k}\}_{k \neq \ell}) = V_0 + \sum_k V_1(\mathbf{r}_{\ell k}) + \sum_{k_1 < k_2} V_2(\mathbf{r}_{\ell k_1}, \mathbf{r}_{\ell k_2}) + \dots + \sum_{k_1 < \dots < k_N} V_N(\mathbf{r}_{\ell k_1}, \dots, \mathbf{r}_{\ell k_n}),$$
(2.1)

with  $\mathbf{r}_{\ell k} := \mathbf{r}_k - \mathbf{r}_{\ell}$ ,  $V_0 \in \mathbb{R}$  and  $N \in \mathbb{N}$  being the maximal order of interaction.”

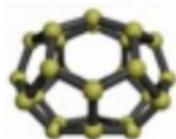
# Outline

**Goal:** (Qualitative) justification for the MLIP assumptions

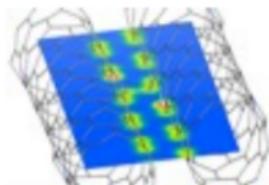
**Proof:** Polynomial approximation



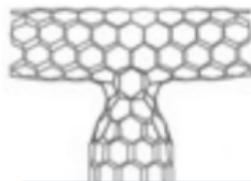
Quantum  
Chemistry



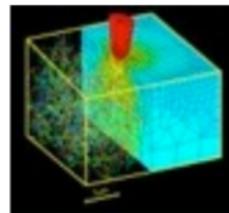
Quantum  
Monte Carlo



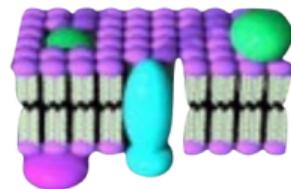
Density Functional Theory



Empirical Quantum Mechanics



Interatomic potentials



Coarse grained  
molecular

$$E^{\text{IP}}(\mathbf{r}) = \sum_{\ell} E_{\ell}^{\text{IP}}(\mathbf{r}; \theta)$$

local decomposition  
into “simple” parts

- Many-body Schrödinger equation:  $\mathcal{H}_{\text{tot}}\Psi = E\Psi$

KS DFT

- Many-body Schrödinger equation:  $\mathcal{H}_{\text{tot}}\Psi = E\Psi$
- Born–Oppenheimer: solve for the electrons  $\mathcal{H}_{\text{BO}} = \mathcal{H}_{\text{BO}}(\mathbf{r})$   
[where  $\mathbf{r} = (\mathbf{r}_1, \dots, \mathbf{r}_{N_{\text{at}}}) \in (\mathbb{R}^d)^{N_{\text{at}}}$ ]

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- Kohn–Sham equations:

KS DFT

$$\mathcal{H}^{\text{KS}}\psi_i(\mathbf{x}) := \left( -\frac{1}{2}\Delta + V(\mathbf{x}) \right) \psi_i(\mathbf{x}) = \varepsilon_i \psi_i(\mathbf{x})$$
$$\rho(\mathbf{x}, \mathbf{y}) := \sum_i F(\varepsilon_i) \psi_i^*(\mathbf{x}) \psi_i(\mathbf{y}), \quad \rho(\mathbf{x}) := \rho(\mathbf{x}, \mathbf{x})$$

where  $F(\varepsilon_i)$  are the single particle occupation numbers  
 $V = V[\rho] \rightsquigarrow$  self-consistent field,

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- Energy:  $E^{\text{KS}}[\rho] = \sum_i F(\varepsilon_i) \varepsilon_i + \dots$

- Discretize:  $\mathcal{H}\psi_i = \varepsilon_i\psi_i$ ,  $\mathcal{H} \in (\mathbb{R}^{N_b \times N_b})^{N_{at} \times N_{at}}$  where

Orbitals

Spectrum

$$\mathcal{H}_{\ell k, ab} := \int \phi_{\ell a}(x) \left[ -\frac{1}{2}\Delta + V(x) \right] \phi_{kb}(x) dx$$

$\{\phi_{\ell a}\}_{a=1}^{N_b}$  - atom-centered localised basis functions at  $\mathbf{r}_\ell$

[Take  $S = \text{id}$  by considering Löwdin transform:  $S^{-T/2}\mathcal{H}S^{1/2}$ ]

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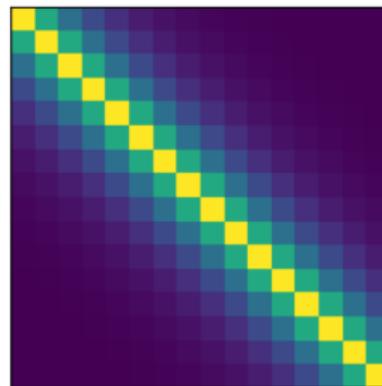
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- Assume:**  $|\mathcal{H}_{\ell k}| \lesssim e^{-\gamma_0 r_{\ell k}}$  [ $r_{\ell k} := |\mathbf{r}_\ell - \mathbf{r}_k|$ ]
- Band energy:  $E := \sum_i F(\varepsilon_i)\varepsilon_i = \text{Tr}(\mathcal{H}F(\mathcal{H}))$

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Matrix entries



# Set-up

- Discretize:  $\mathcal{H}\psi_i = \varepsilon_i\psi_i$ ,  $\mathcal{H} \in (\mathbb{R}^{N_b \times N_b})^{N_{at} \times N_{at}}$  where

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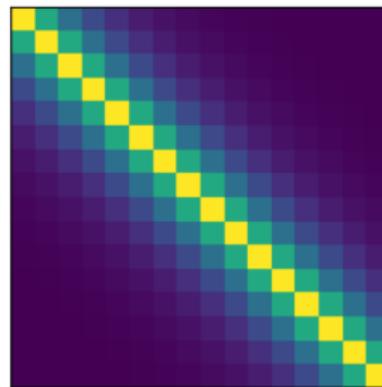
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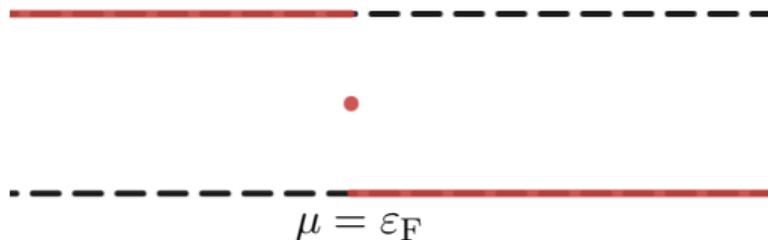
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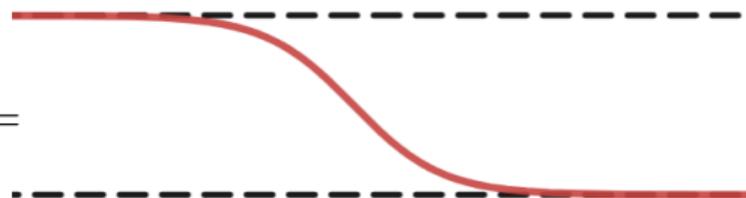
Matrix entries



$F =$



$F^\beta =$

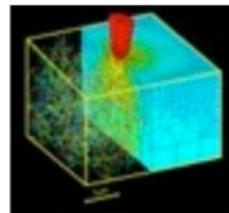


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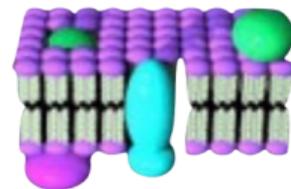
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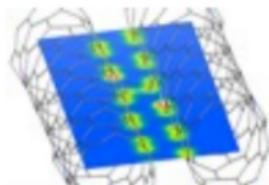
Interatomic potentials



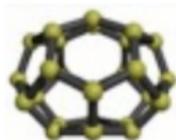
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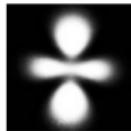
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local decomposition into "simple" parts

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$$E^{\text{IP}}(\mathbf{r}) = \sum_{\ell} E_{\ell}^{\text{IP}}(\{\mathbf{r}_{\ell k}\}_{k \neq \ell}; \boldsymbol{\theta})$$

- Recall:

$$E(\mathbf{r}) = \text{Tr}(\mathcal{H}F(\mathcal{H})) = \sum_{\ell} [\mathcal{H}F(\mathcal{H})]_{\ell\ell}$$

$$E^{\text{IP}}(\mathbf{r}) = \sum_{\ell} E_{\ell}^{\text{IP}}(\{\mathbf{r}_{\ell k}\}_{k \neq \ell}; \boldsymbol{\theta})$$

- Recall:

$$E(\mathbf{r}) = \text{Tr}(\mathcal{H}F(\mathcal{H})) = \sum_{\ell} [\mathcal{H}F(\mathcal{H})]_{\ell\ell}$$

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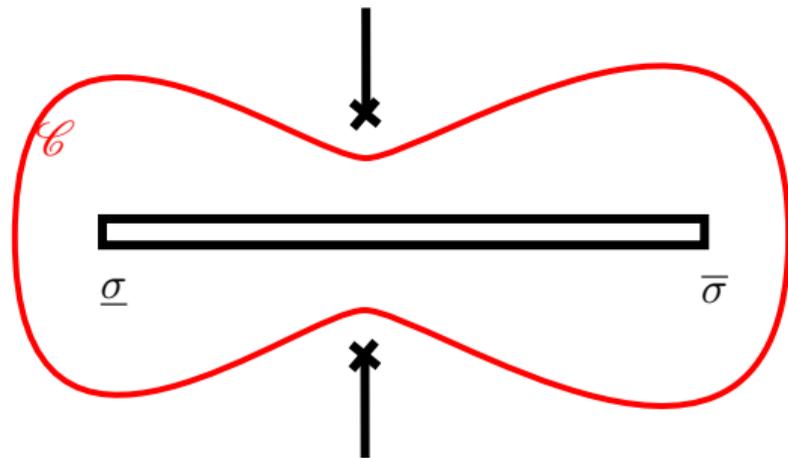
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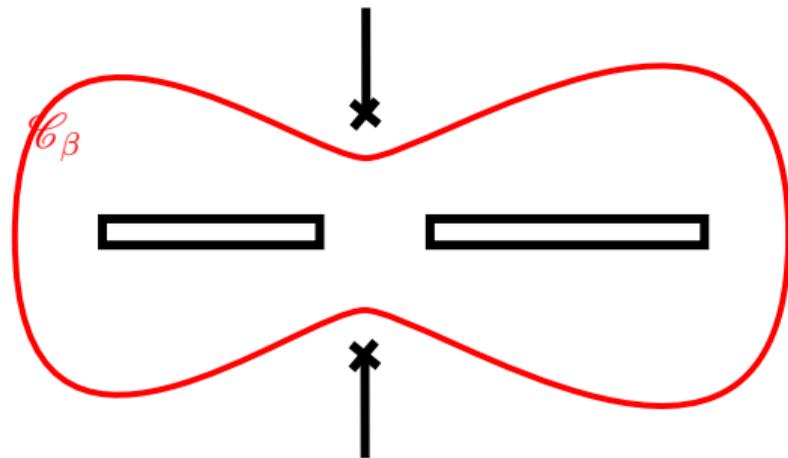
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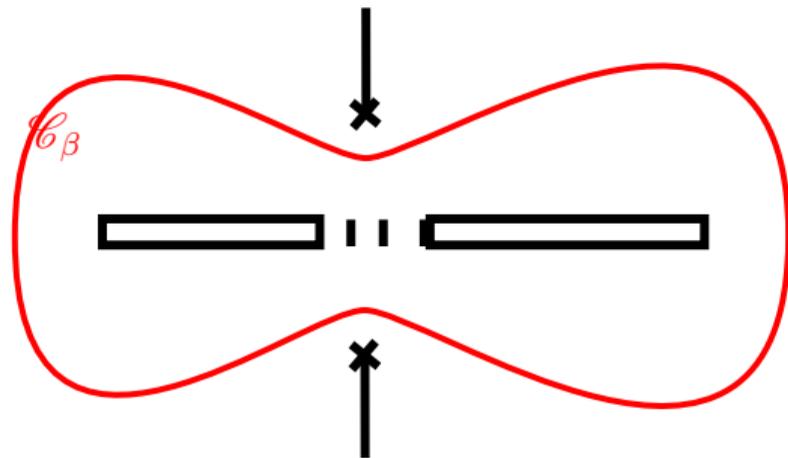
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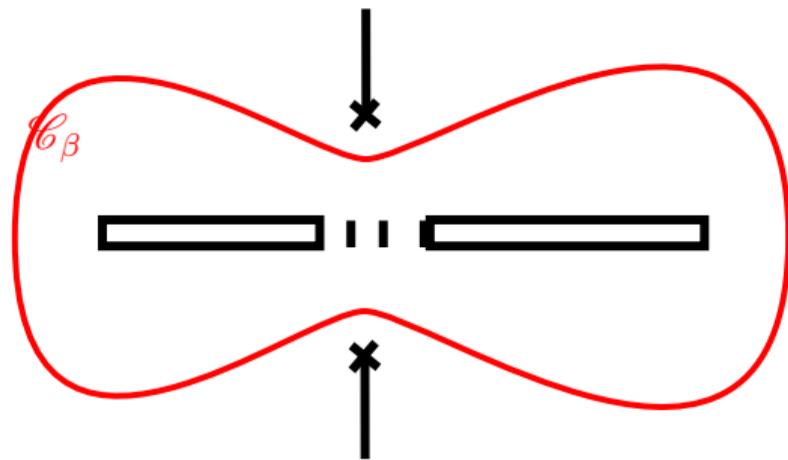
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$\eta > 0$  depends on:

Numerics

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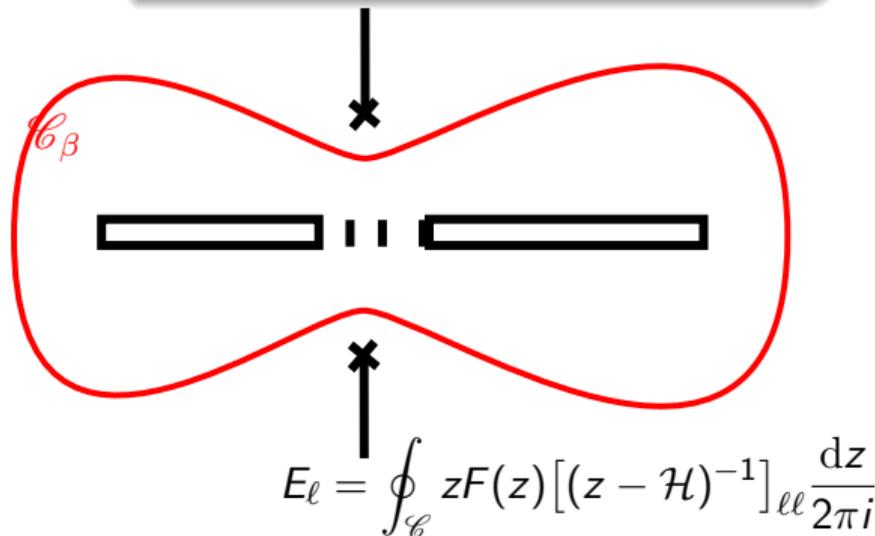
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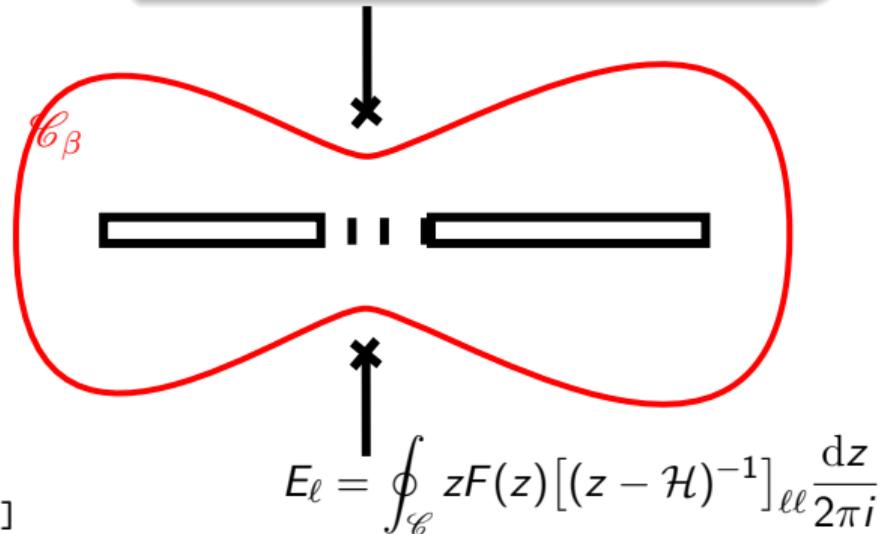
[Chen, Ortner. Multiscale Model. Simul., 2016]

[Chen, Lu, Ortner. Arch. Rat. Mech. An., 2018]

[Ortner, JT, Chen. ESAIM: M2AN, 2020] - estimates for point defects

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## Resolvent Estimates: Sketch for $m$ -banded Hamiltonians

Suppose  $\mathcal{H}_{\ell k} = 0$  for all  $r_{\ell k} > m$ .

Then,  $[\mathcal{H}^N]_{\ell k} = 0$  for all  $r_{\ell k} > mN$ :

$$\begin{aligned} |(z - \mathcal{H})_{\ell k}^{-1}| &= \min_{P_N \in \mathcal{P}_N} \left| [(z - \mathcal{H})^{-1} - P_N(\mathcal{H})]_{\ell k} \right| \\ &\leq \min_{P_N \in \mathcal{P}_N} \left\| (z - \cdot)^{-1} - P_N \right\|_{L^\infty(\sigma(\mathcal{H}))} \\ &\lesssim e^{-\gamma N} = e^{-\frac{\gamma}{m} r_{\ell k}} \end{aligned}$$

where  $\gamma \sim \text{dist}(z, \sigma(\mathcal{H}))$ .

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- 2 Locality
- 3 Body-ordered approximation**
  - Linear schemes
  - Nonlinear schemes
  - Examples
- 4 Polynomial Approximation
  - Logarithmic potential theory
  - Schwarz–Christoffel mappings
- 5 Conclusions

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*“In view of the fact that the Si crystal consists of atoms held in place by strong and directional bonds, it seems reasonable at first sight that the corresponding  $\Phi$  could be approximated by a combination of pair and triplet potentials,  $V_1$  and  $V_2$ .”*

— Stillinger, Weber. Phys. Rev. B 31 (1985)

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*“In this so-called many-body expansion of  $\Phi$ , it is usually believed that the series has a quick convergence, therefore, the higher moments may be neglected.”*

— Halicioglu, Pamuk, Erkoç. Phys Status Solidi B 149 (1988)

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*“...the many-body potentials in general exhibit a rather slow convergence.”*

*“It is sometimes argued that a potential expansion converges only slowly with respect to the order of the potentials and is thus impractical for use in molecular dynamics simulations.”*

— Drautz, Fähnle, Sanchez. J. Phys. Condens. Matter 16 (2004)

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*“The convergence of the expansion is slow and, for example, for bulk metals potentials  $V_K$  up to  $K \geq 15$  are required.”*

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“Incorporating environment information leads to exponential convergence”  $\implies$  replace  $V_n$  with  $V_{nN}$

**Main idea:** Polynomials are body-ordered:

$$[\mathcal{H}^n]_{\ell\ell} = \sum_{\ell_1, \dots, \ell_{n-1}} \mathcal{H}_{\ell\ell_1} \mathcal{H}_{\ell_1\ell_2} \dots \mathcal{H}_{\ell_{n-1}\ell}$$

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“convergence  $\leftrightarrow$  smoothness of  $\varepsilon$ ”

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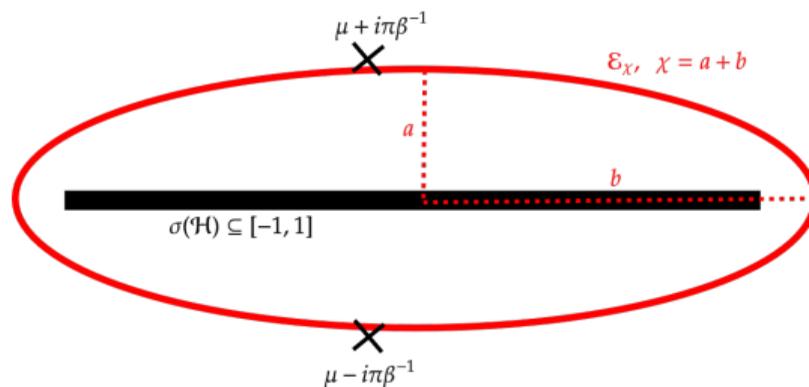
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- Finite temperature ( $\beta < \infty$ ): Chebyshev projection

$$|E_\ell - E_\ell^N| \leq \frac{2\|\varepsilon\|_{L^\infty(\mathcal{E}_\chi)}}{\chi - 1} \chi^{-N}$$

where  $F$  is analytic on  $\mathcal{E}_\chi$ .

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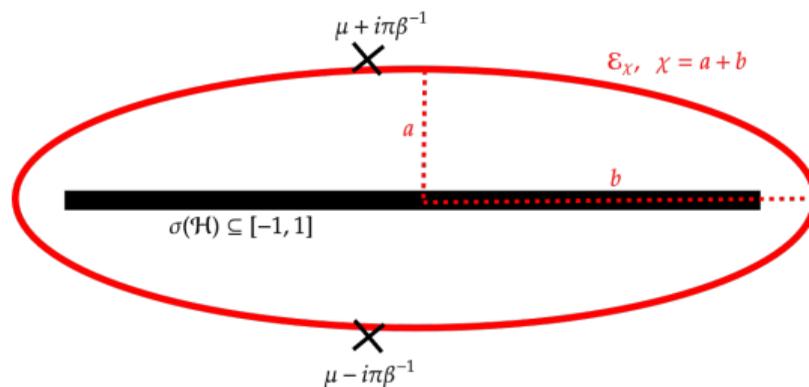
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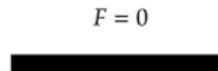
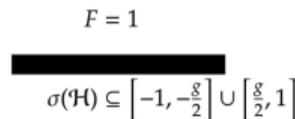
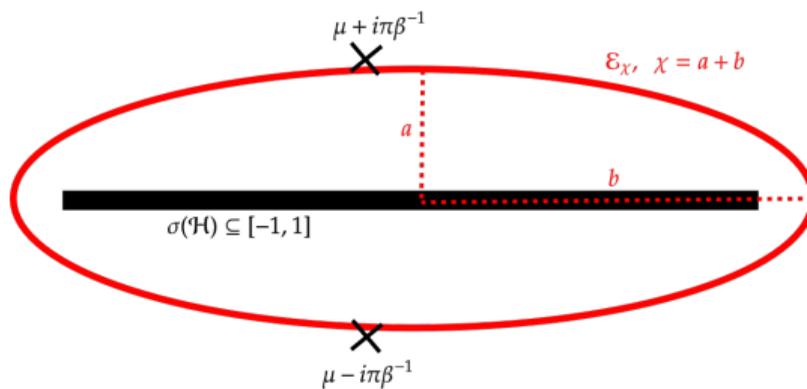
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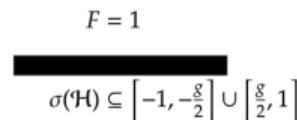
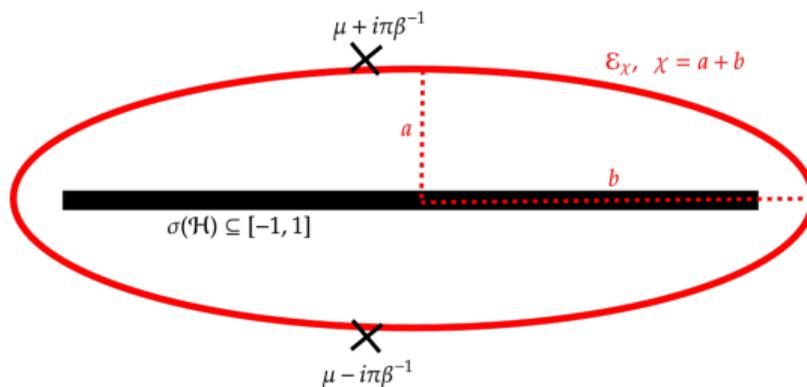
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Recall:

$$|E_\ell - E_\ell^N| \leq \sup_{z \in \sigma(\mathcal{H})} |\varepsilon(z) - \varepsilon_N(z)|$$

**Idea #2:** Asymptotic bounds

Interpolation nodes:  $X_N := \{x_j\}_{j=0}^N$

Let  $\varepsilon_N := I_{X_N} \varepsilon$  polynomial interpolation of  $\varepsilon$  on  $X_N$

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Given  $A \subset \mathbb{R}$ , there exists an *equilibrium measure*  $\omega_A$  such that

$$\frac{1}{N} \sum_{j=0}^N \delta(\cdot - x_j) \rightarrow \omega_A \quad \Longrightarrow \quad \|\varepsilon - \varepsilon_N\|_{L^\infty(A)} \lesssim e^{-\gamma_N^* N}$$

and  $\gamma^* = \lim_{N \rightarrow \infty} \gamma_N^*$  is optimal.

## Theorem (JT, Chen, Ortner (2022))

There exists a linear  $\Theta_N: \mathbb{R}^N \rightarrow \mathbb{R}$  such that

$$|E_\ell(\mathbf{r}) - \Theta_N(\mathcal{H}_{\ell\ell}, \dots, [\mathcal{H}^N]_{\ell\ell})| \leq C e^{-\gamma_N N}$$

where  $\lim_{N \rightarrow \infty} \gamma_N = \gamma > 0$ , and  $\gamma \sim \mathbf{g}_{\text{def}} + \beta^{-1}$ .

However,

- Different  $\Theta_N$  for different phases of the material
- Defects affect the convergence rate

[Here,  $\Theta_N(\mathcal{H}_{\ell\ell}, \dots, [\mathcal{H}^N]_{\ell\ell})$  is body-ordered]

## Idea #3: Nonlinear schemes

- Recall, local density of states  $D_\ell$  is a (positive) measure supported on  $\sigma(\mathcal{H})$  and satisfying

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$$[\mathcal{H}^n]_{\ell\ell} = \int x^n \, dD_\ell^N(x) \quad (n = 0, 1, \dots, N) \quad \longrightarrow \quad E_\ell^N(\mathbf{r}) := \int \varepsilon \, dD_\ell^N,$$

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$[\mathcal{P}_N = \text{polynomials degree } N]$

$$E_\ell = \Theta_N(\mathcal{H}_{\ell\ell}, \dots, [\mathcal{H}^N]_{\ell\ell})$$

## Linear schemes:

- Chebyshev projection  
→ Kernel polynomial method<sup>1</sup>
- Newton–Cotes quadrature  
(equispaced nodes)
- Clenshaw–Curtis quadrature  
(Chebyshev nodes)
- General quadrature  
(with  $\nu_N \rightarrow^* \omega_\sigma(\mathcal{H})$ )

## Nonlinear schemes:

- Maximum entropy method<sup>2</sup> [More](#)
- Recursion method<sup>3</sup>: spectral measure  
corresponding to truncated  
tridiagonalisation of  $\mathcal{H}$  [More](#)  
→ bond order potentials<sup>4</sup>
- Gauss quadrature [More](#)  
→ linear-scaling spectral Gauss  
quadrature<sup>5</sup>

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<sup>1</sup>[Silver, Roeder, Voter, Kress. J. Comput. Phys. 124 (1996)]

<sup>2</sup>[Mead, Papanicolaou. J. Math. Phys. 25 (1984)]

<sup>3</sup>[Haydock, Heine, Kelly. J. Phys. C 5 (1972), 8 (1975)]

<sup>4</sup>[Horsfield *et al.* Phys. Rev. B 53 (1996)]

<sup>5</sup>[Suryanarayana *et al.* J. Mech. Phys. Solids 61 (2013)]

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where  $\lim_{N \rightarrow \infty} \gamma_N = \gamma > 0$ , and  $\gamma \sim g_{\text{def}} + \beta^{-1}$ .

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## Theorem (JT, Chen, Ortner (2022))

Fix  $N$  odd. There exist  $U \subset \mathbb{C}^N$  and an analytic function  $\Theta_N: U \rightarrow \mathbb{C}$  such that

$$|E_\ell(\mathbf{r}) - \Theta_N(\mathcal{H}_{\ell\ell}, \dots, [\mathcal{H}^N]_{\ell\ell})| \leq Ce^{-\eta_N N}$$

where  $\lim_{N \rightarrow \infty} \eta_N = \eta > 0$ , and  $\eta \sim g + \beta^{-1}$ .

Now,

- $\Theta_N$  is a “universal” nonlinearity
- Eigenvalues in the gap **do not** affect the convergence rates

However,

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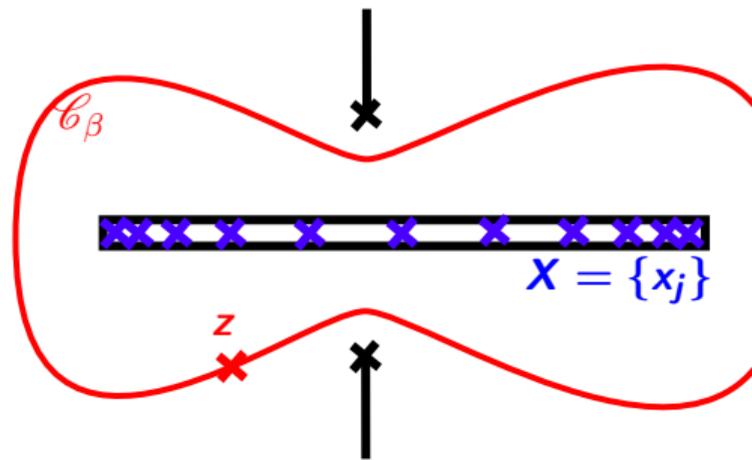
$g$  = gap in the essential spectrum

- 1 Introduction
- 2 Locality
- 3 Body-ordered approximation
  - Linear schemes
  - Nonlinear schemes
  - Examples
- 4 Polynomial Approximation**
  - Logarithmic potential theory
  - Schwarz–Christoffel mappings
- 5 Conclusions

# Polynomial Approximation

Asymptotically optimal rates:

General  $\sigma(\mathcal{H})$  with  $\beta < \infty$  or  $g > 0$

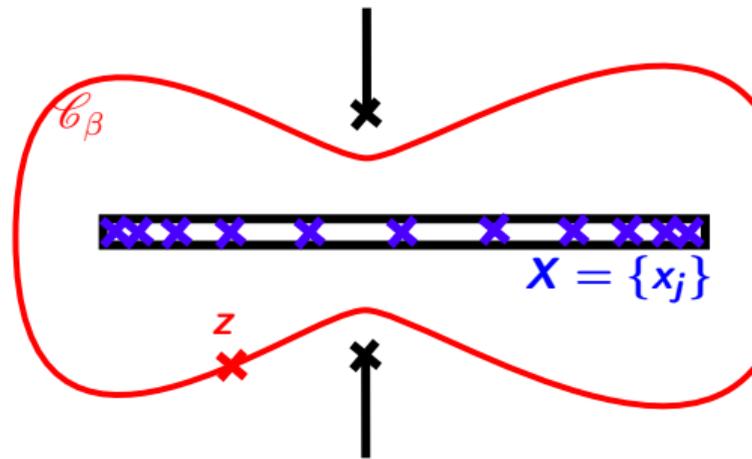


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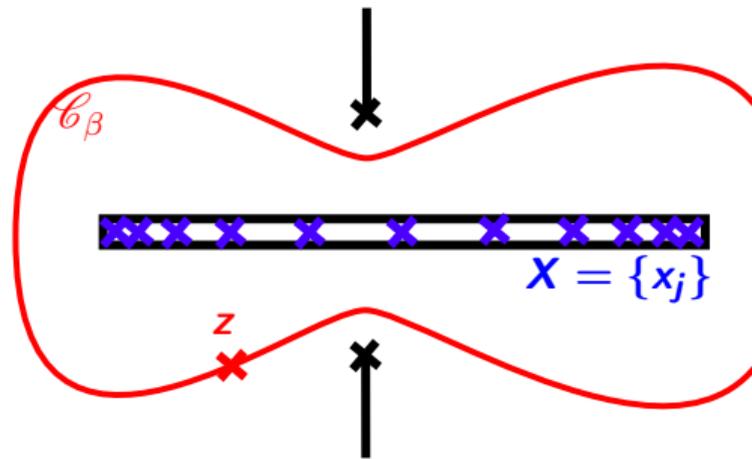


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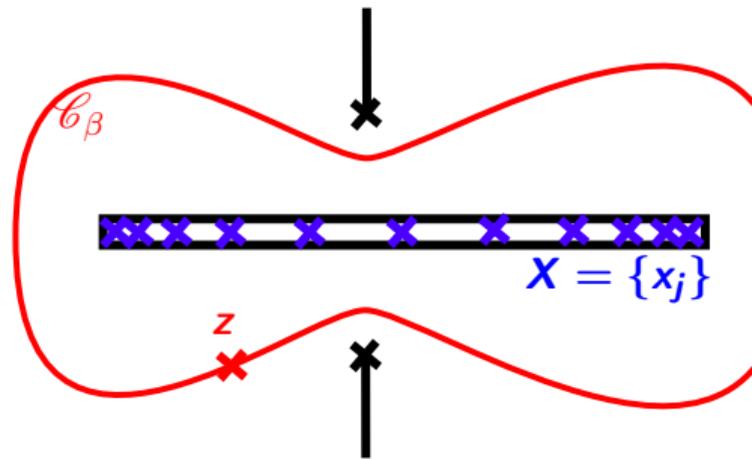


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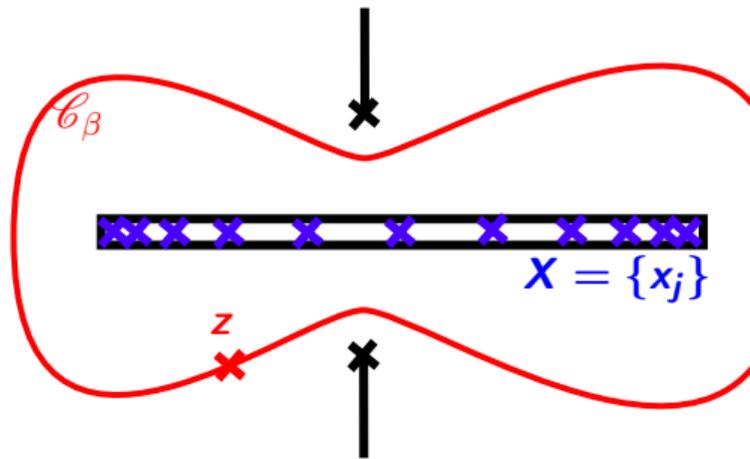
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## Hermite Integral formula

Let  $\mathcal{C}$  contour encircling  $X \cup \{x\}$ ,

$$I_X F(x) - F(x) = \oint_{\mathcal{C}} \frac{l(x)}{l(z)} \frac{F(z)}{x - z} \frac{dz}{2\pi i}$$

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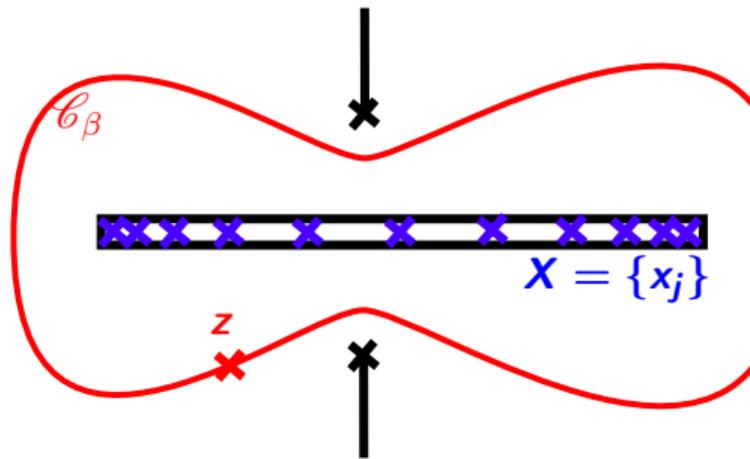
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Proof:

$$l_j(x) = \prod_{k \neq j} \frac{x - x_k}{x_j - x_k} = \frac{l(x)/(x - x_j)}{\prod_{k \neq j} (x_j - x_k)} = \oint_{\mathcal{C}_j} \frac{l(x)/(x - z)}{\prod_{k \neq j} (z - x_k)} \frac{1}{z - x_j} \frac{dz}{2\pi i} = \oint_{\mathcal{C}_j} \frac{l(x)}{l(z)} \frac{1}{x - z} \frac{dz}{2\pi i}$$

# Polynomial Approximation

- Hermite Integral formula  $\implies$

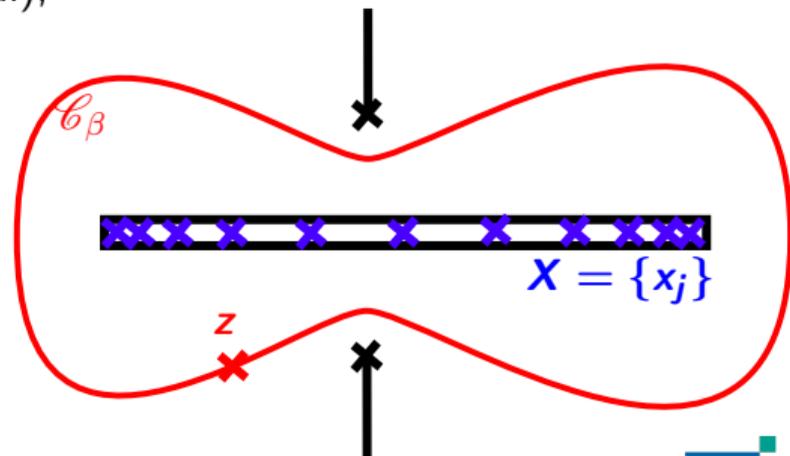
$$|I_X F(x) - F(x)| \leq \frac{\|F\|_{L^\infty(\mathcal{C})}}{2\pi \text{dist}(\sigma(\mathcal{H}), \mathcal{C})} \sup_{x \in \sigma(\mathcal{H}), z \in \mathcal{C}} \left| \frac{l(x)}{l(z)} \right|$$

where  $l(x) := \prod_{j=0}^N (x - x_j)$  (node polynomial),

- **Goal:** Understand the asymptotic behaviour of

$$\left| \frac{l(x)}{l(z)} \right| \quad \text{as } N \rightarrow \infty$$

- How to choose  $X$ ?



- Define  $\nu_N := \frac{1}{N} \sum_{j=0}^N \delta_{x_j}$  and note

$$\log \left[ |\ell(x)|^{\frac{1}{N}} \right] = \frac{1}{N} \sum_j \log |x - x_j| = \int \log |x - t| d\nu_N(t)$$

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- body-order approx.  $\longleftrightarrow$  polynomial approx.  
 $\longleftrightarrow \left| \frac{\ell(x)}{\ell(z)} \right|$  for  $x \in \sigma(\mathcal{H})$  and  $z \in \mathcal{C}$   
 $\longleftrightarrow$  behaviour of  $U^\nu(x) - U^\nu(z)$

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- $\exists!$  minimiser  $\omega_\Sigma$  – *equilibrium measure* with  
 $V_\Sigma := \inf_{\mathcal{M}(\Sigma)} I \in (-\infty, \infty]$  – *Robin's constant*  
( $\exists = \mathcal{M}(\Sigma)$  weak\* compact and  $I$  lsc,  $!$  = strict convexity)

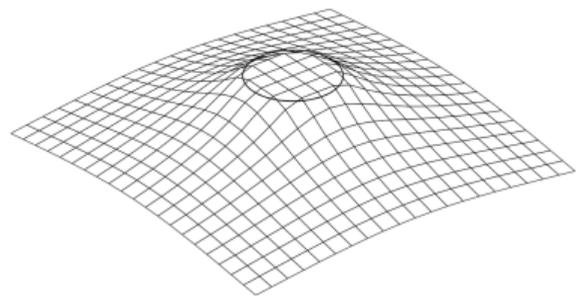
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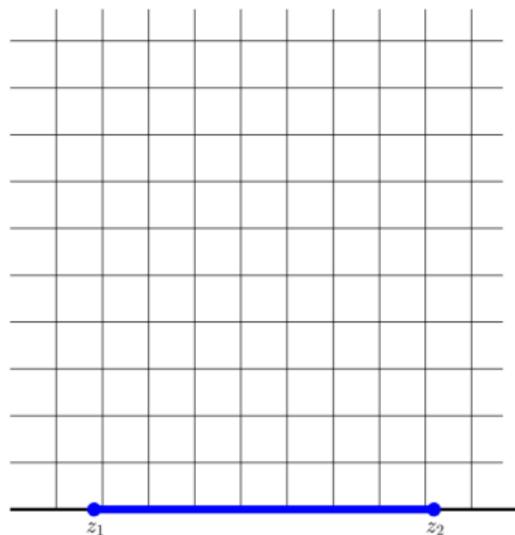
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- $\exists!$  solution to this Green's function problem

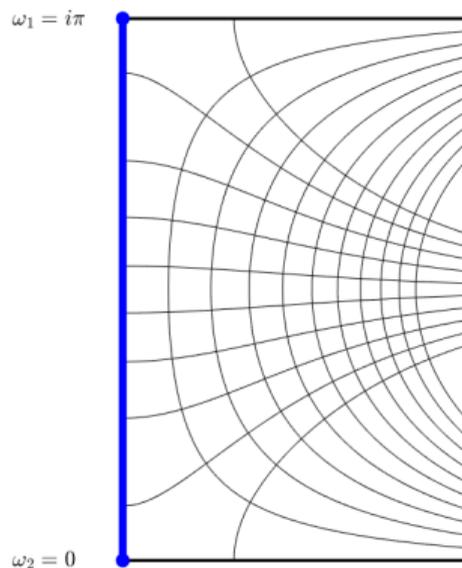
$$\Sigma = [-1, 1]$$

Define  $g_{\Sigma}(z) := \operatorname{Re}G_{\Sigma}(z)$  where

Conformal mapping problem:  $G_{[-1,1]}: \mathbb{C}_+ \rightarrow \mathbb{C}$  s.t.



$G_{[-1,1]}$   
 $\longrightarrow$



## Green's function problem

Find  $g_{\Sigma}$  s.t.

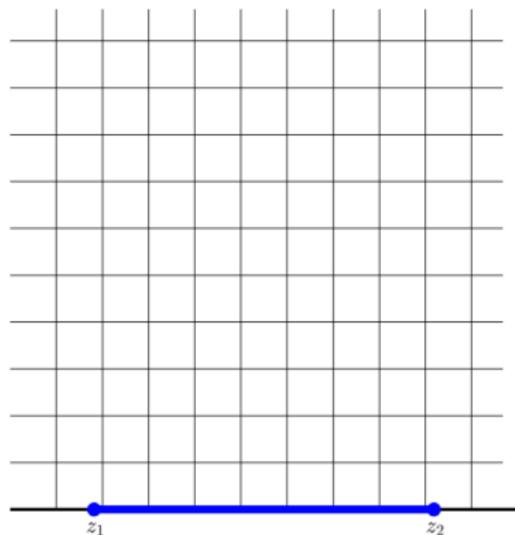
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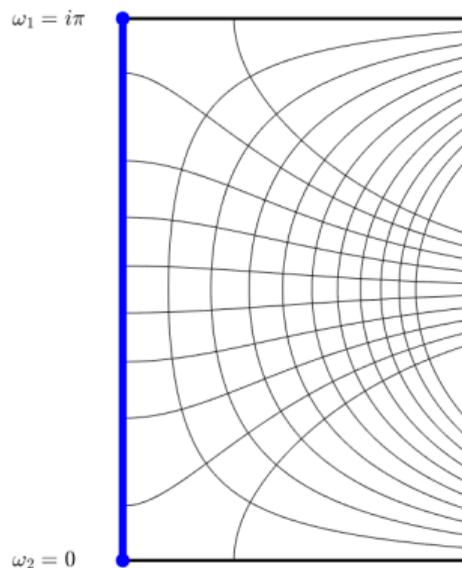
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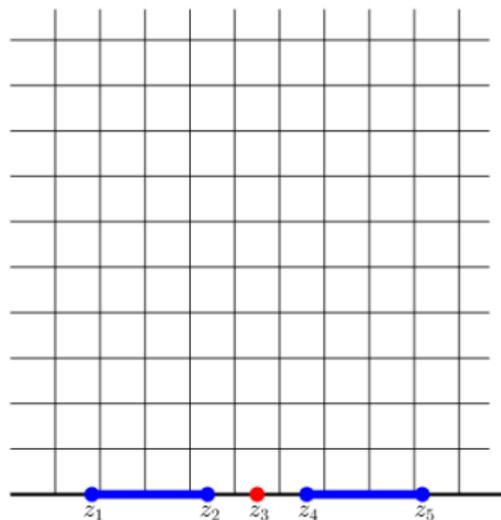
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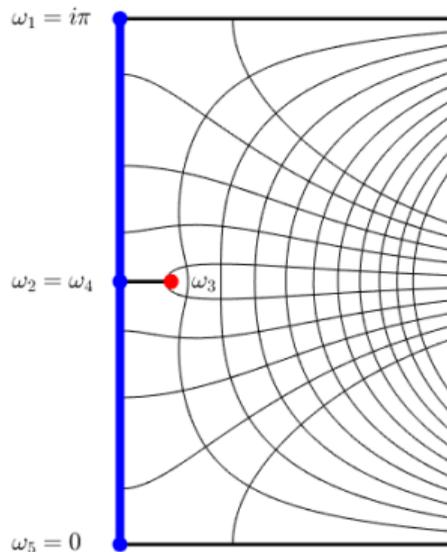
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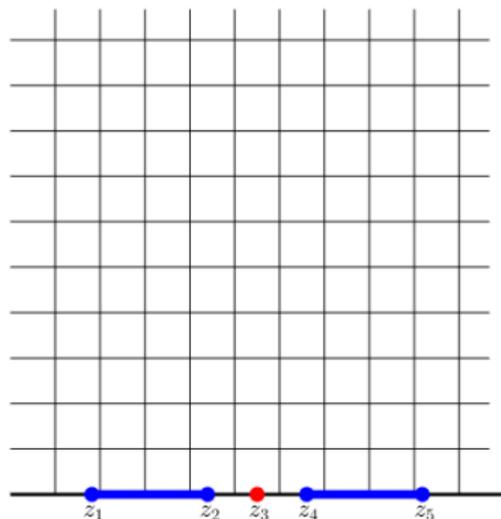


$$\Sigma = [-1, a] \cup [b, 1]$$

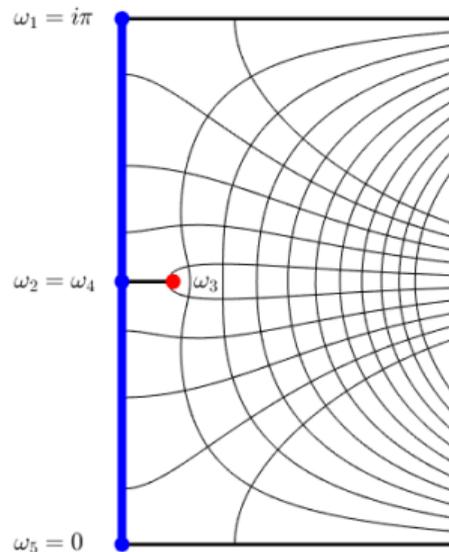
Define  $g_{\Sigma}(z) := \operatorname{Re}G_{\Sigma}(z)$  where

$$G_{[-1,a] \cup [b,1]}(z) = \int_1^z \frac{\zeta - z_3}{\sqrt{\zeta + 1}\sqrt{\zeta - a}\sqrt{\zeta - b}\sqrt{\zeta - 1}} d\zeta,$$

for some  $z_3 \in [a, b]$



$$G_{[-1,a] \cup [b,1]} \longrightarrow$$



## Green's function problem

Find  $g_{\Sigma}$  s.t.

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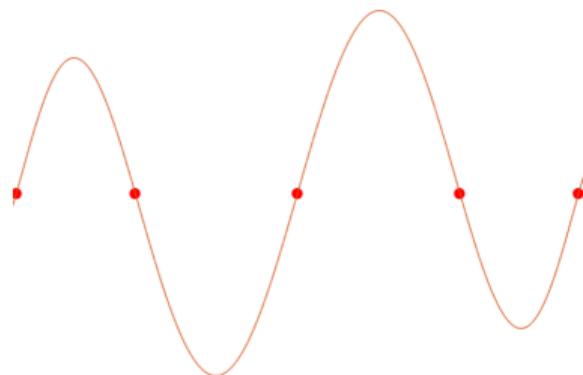
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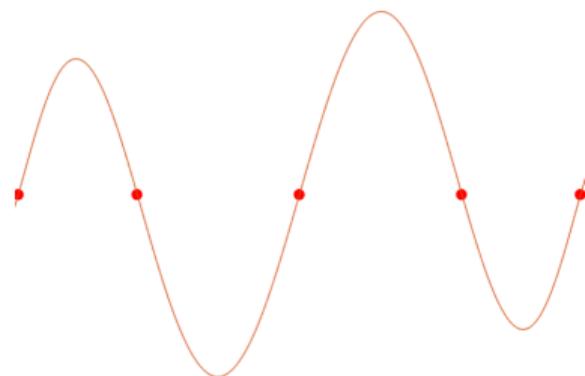
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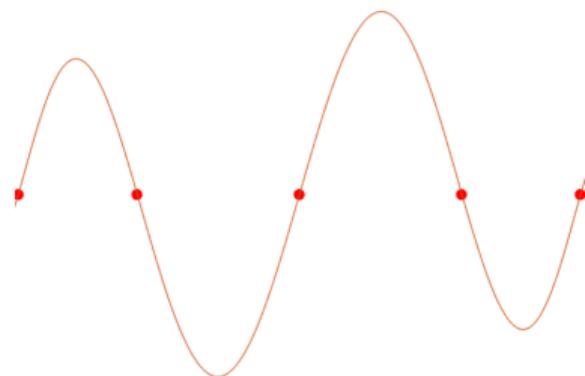
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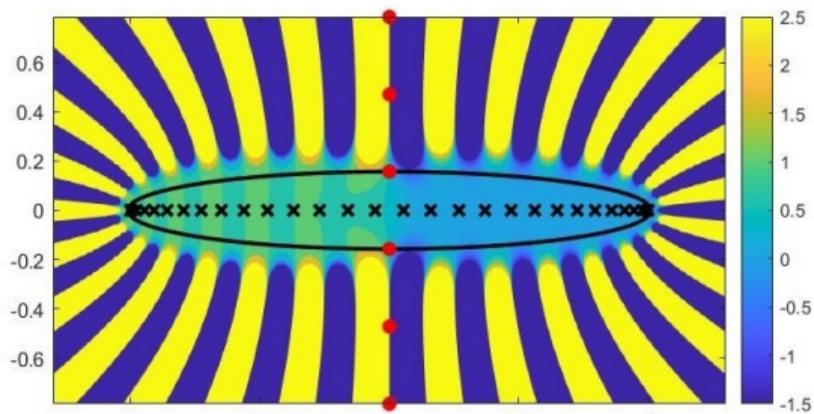
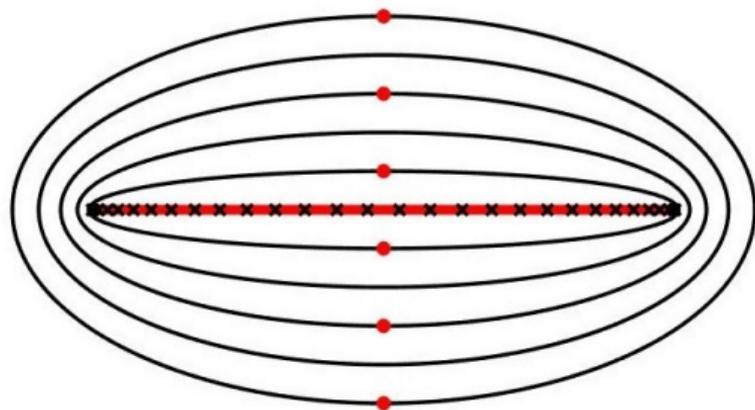


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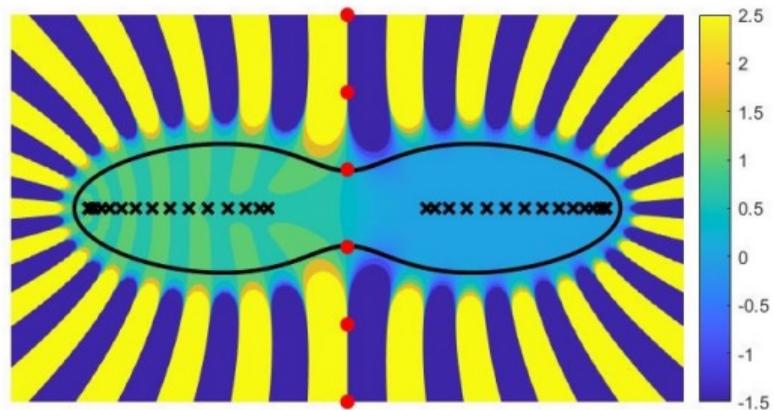
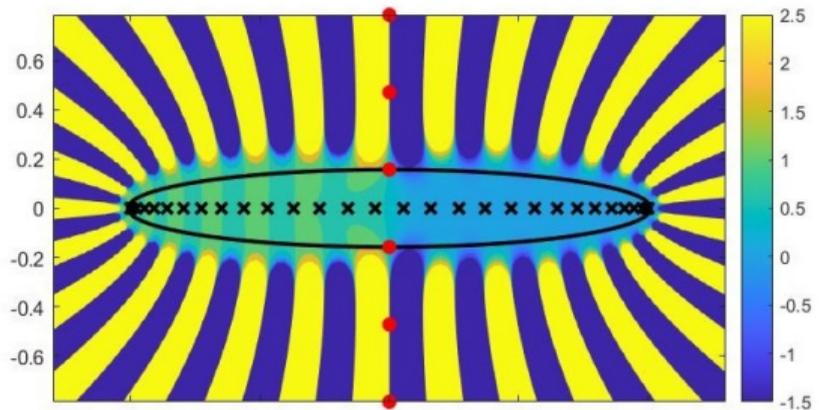
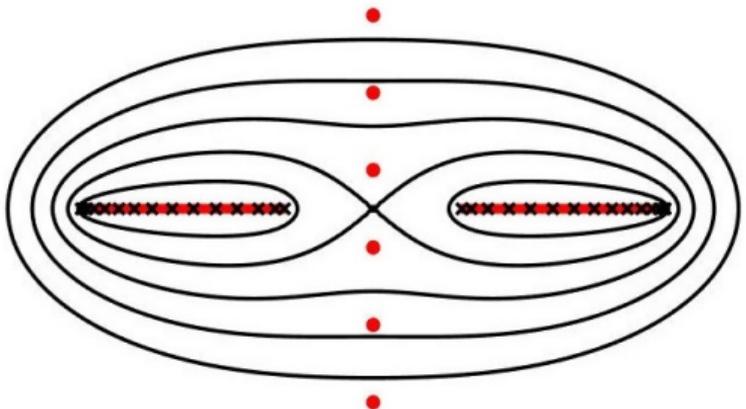
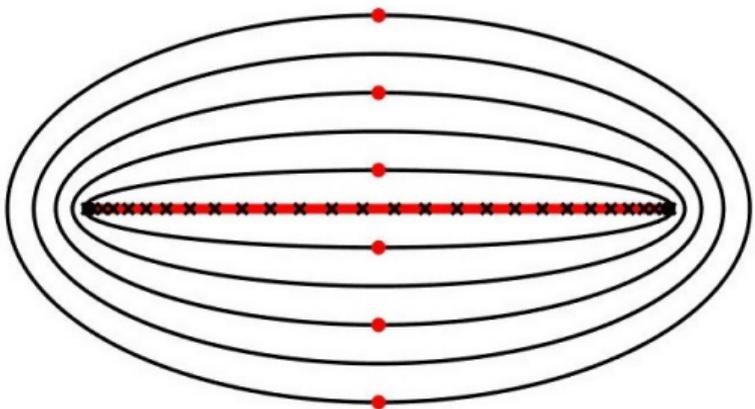
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  - Linear schemes
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## *Body-Ordered Approximations of Atomic Properties*

JACK THOMAS , HUAJIE CHEN & CHRISTOPH ORTNER

Also in the paper:

- Classical vacuum cluster expansion  
*[reasons for slow convergence]*
- Analysis of bond-order potentials (BOP),  
*[Recursion method with possibly different terminators]*
- (partial) Justification for linear-scaling spectral Gauss quadrature,  
*[Approximation of  $\rho = F(\mathcal{H}[\rho])$  with  $\rho_N = F_N(\mathcal{H}[\rho_N])$ ]*
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Thank you for your attention!

# What we couldn't prove (yet?):

- Forces converge in the linear schemes

$$\left| \frac{\partial E_\ell}{\partial \mathbf{r}_k} - \frac{\partial E_\ell^N}{\partial \mathbf{r}_k} \right| \lesssim e^{-\gamma r_{\ell k}} e^{-\eta N}$$

- **But**, this is a lot less obvious in the nonlinear schemes
- True if  $D_\ell$  has “regular  $n^{\text{th}}$  root asymptotic behaviour”:

$$\lim_{n \rightarrow \infty} |p_n(z; D_\ell)|^{\frac{1}{n}} = e^{\mathbf{g}_{\text{supp } D_\ell}(z)}$$

locally uniformly on  $\mathbb{C} \setminus \text{conv supp } D_\ell$

- “Proof”

$$\left| \frac{\partial E_\ell}{\partial \mathbf{r}_k} - \frac{\partial E_\ell^N}{\partial \mathbf{r}_k} \right| \lesssim \left[ \sum_{n=0}^{\infty} \sum_{l=0}^n \|p_l\|_{L^\infty(\mathcal{C})}^2 e^{-\eta_1 n} \right] e^{-\eta_2 N} e^{-\gamma r_{\ell k}}$$

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- Want  $\rho_\ell^* = F(\mathcal{H}[\rho^*])_{\ell\ell}$ ,
- Approximate with  $\rho_{N,\ell} = F_N(\mathcal{H}[\rho_N])_{\ell\ell}$   
[where  $F_N$  is a body-ordered approximation of  $F$ ]
- If  $\rho^*$  is stable [linearisation is invertible], then there exist  $\rho_N$  such that

$$|\rho_{N,\ell} - \rho_\ell^*| \lesssim e^{-\eta N}$$

- Can solve  $\rho_{N,\ell} = F_N(\mathcal{H}[\rho_N])_{\ell\ell}$  with the Newton iteration:

$$\rho^{j+1} = \rho^j - (I - DF_N(\rho^j))^{-1}(\rho^j - F_N(\mathcal{H}[\rho^j]))$$

**Main idea:** Polynomials are body-ordered:

$$[\mathcal{H}^n]_{\ell\ell} = \sum_{\ell_1, \dots, \ell_{n-1}} \mathcal{H}_{\ell\ell_1} \mathcal{H}_{\ell_1\ell_2} \dots \mathcal{H}_{\ell_{n-1}\ell}$$

[“spatial correlations”, “moments” ( $\mathcal{H}^n$ ) $_{\ell\ell} = \int x^n dD_\ell(x)$ ]

Recall

$$E_\ell = \varepsilon(\mathcal{H})_{\ell\ell} = \int \varepsilon dD_\ell$$

Proof

$$\begin{aligned} |E_\ell - E_\ell^N| &= |[\varepsilon(\mathcal{H}) - \varepsilon_N(\mathcal{H})]_{\ell\ell}| \\ &\leq \|\varepsilon(\mathcal{H}) - \varepsilon_N(\mathcal{H})\|_{\ell^2 \rightarrow \ell^2} \\ &= \sup_{z \in \sigma(\mathcal{H})} |\varepsilon(z) - \varepsilon_N(z)| \end{aligned}$$

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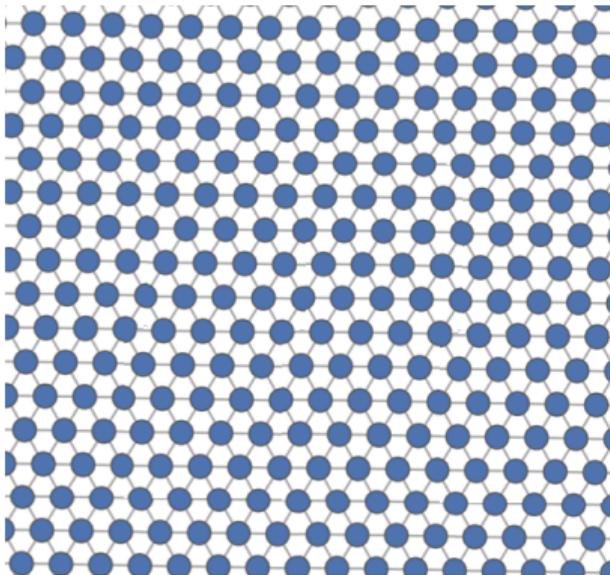
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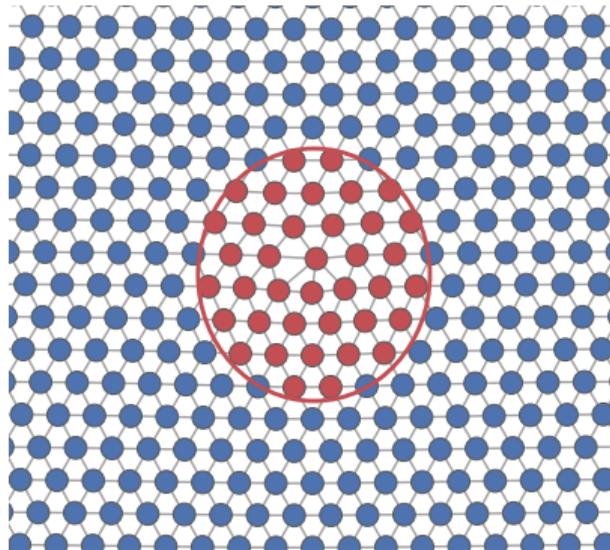
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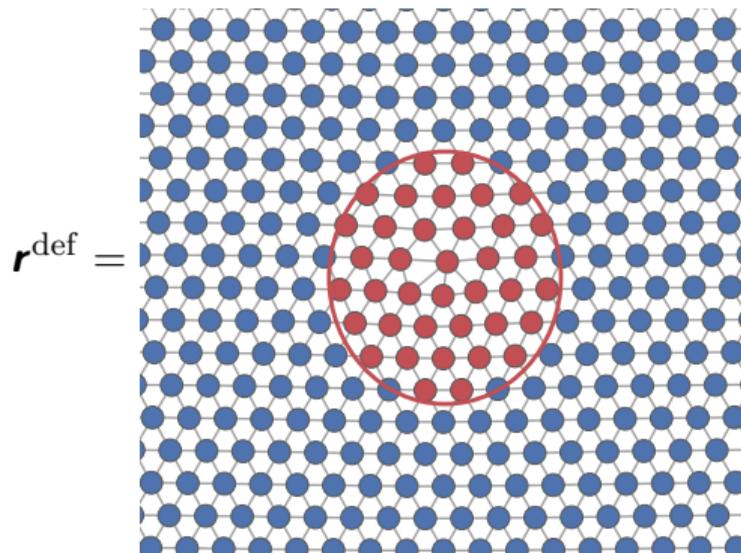
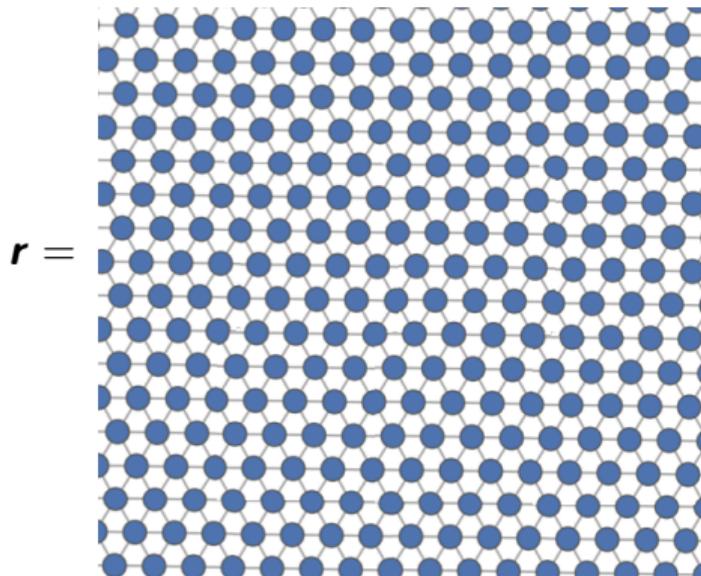
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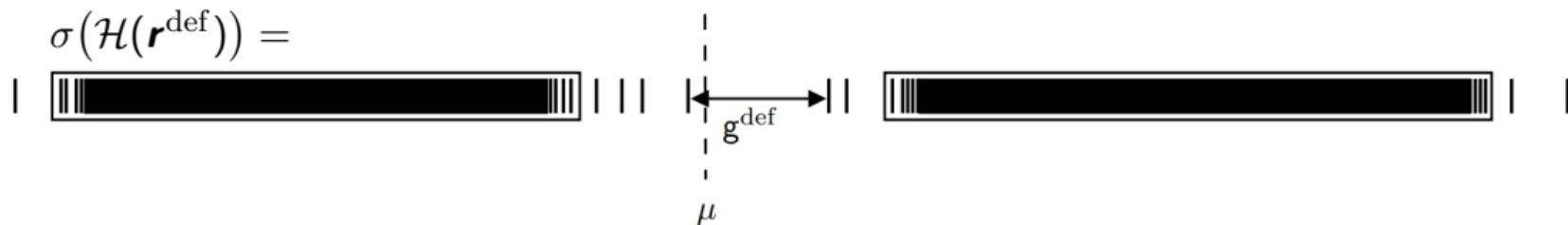
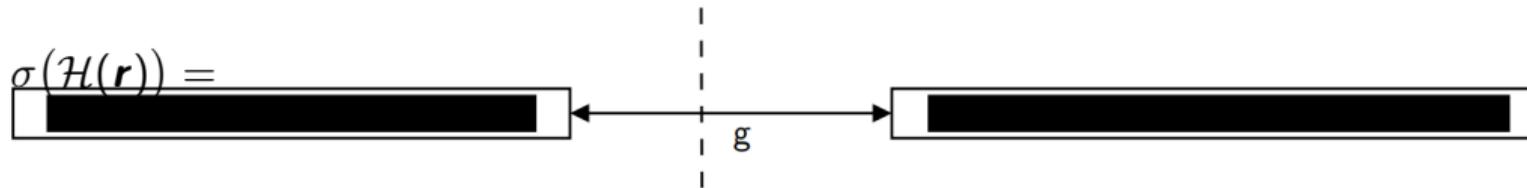
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$$\{\ell: |\mathbf{r}_\ell^{\text{def}}| \leq R_{\text{def}}\} \text{ finite}$$

$$\sup_{\ell: |\mathbf{r}_\ell| > R_{\text{def}}} |\mathbf{r}_\ell^{\text{def}} - \mathbf{r}_\ell| \leq \delta$$



# Spectrum of the Hamiltonian: Insulators

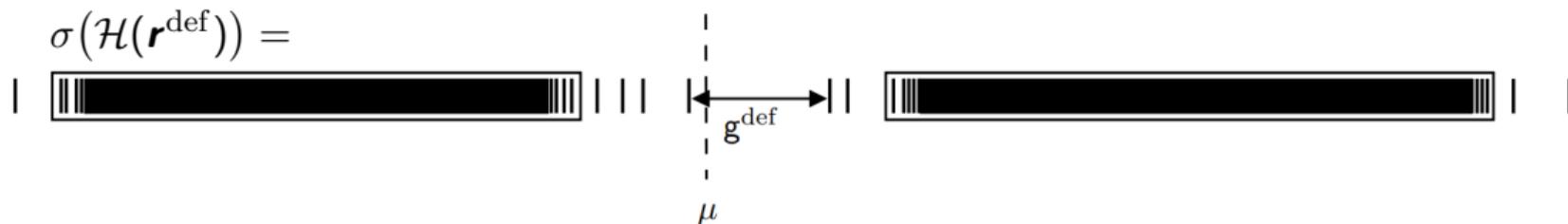
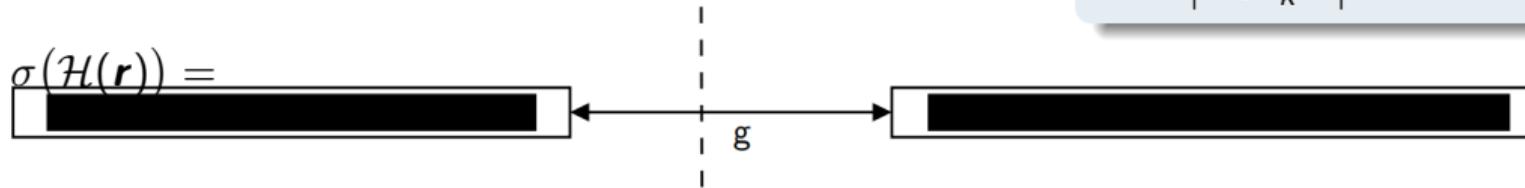


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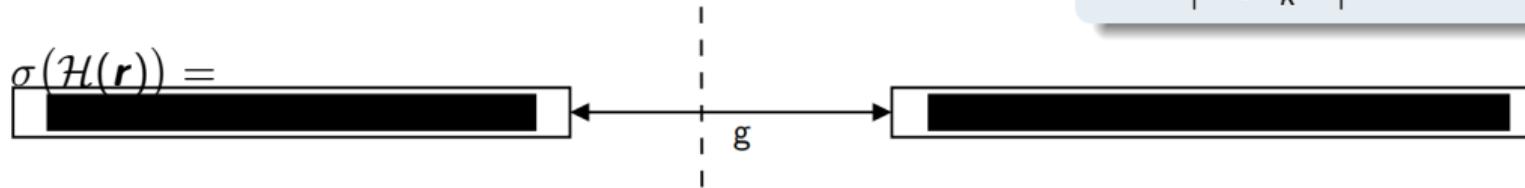


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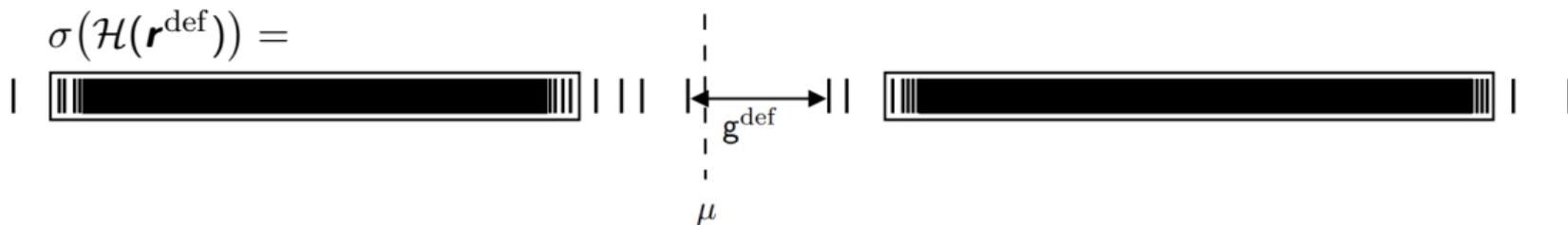
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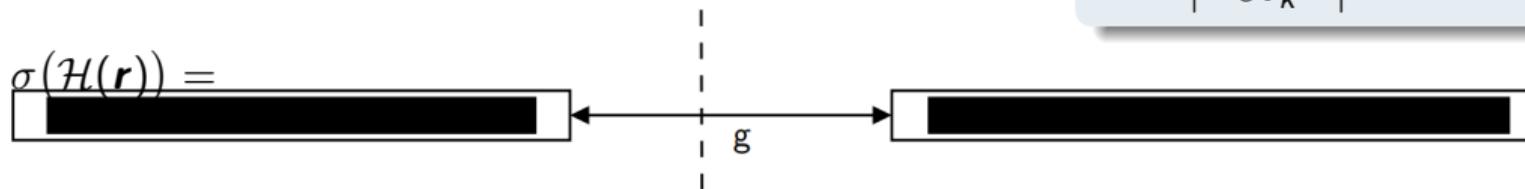


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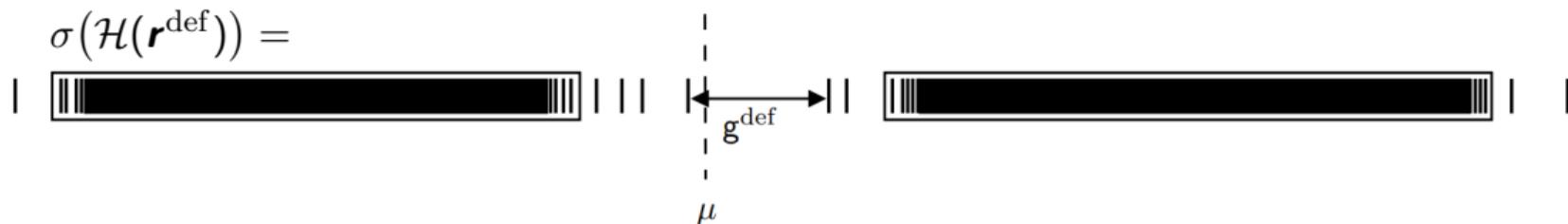
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Improved estimate:

$$\eta \sim g \gg g^{\text{def}}$$

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# (Kohn–Sham) Density Functional Theory

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$$E^{\text{KS}}[\rho] = \sum_n F(\lambda_n) \lambda_n + \dots$$



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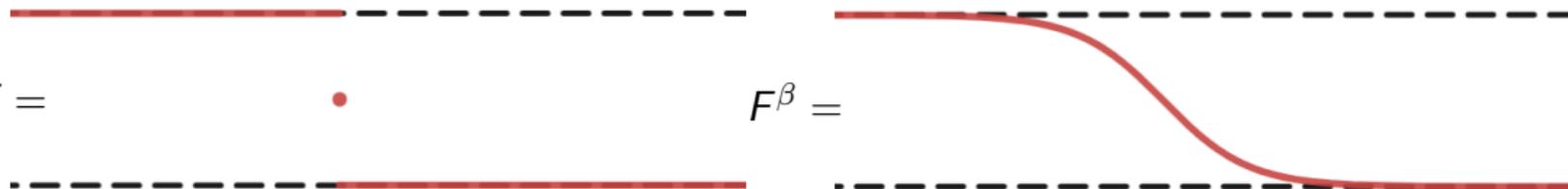
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$$\mathcal{H}^{\text{KS}}\psi_n := \left( -\frac{1}{2}\Delta + V_{\text{eff}}(\mathbf{x}; \rho) \right) \psi_n(\mathbf{x}) = \lambda_n \psi_n(\mathbf{x}), \quad \rho(\mathbf{x}) = \sum_n F(\lambda_n) |\psi_n(\mathbf{x})|^2$$

$$V_{\text{eff}}(\mathbf{x}; \rho) := \int \frac{\rho(\mathbf{y})}{|\mathbf{x} - \mathbf{y}|} d\mathbf{y} - \sum_m \frac{Z_m}{|\mathbf{x} - \mathbf{r}_m|} + V_{\text{xc}}(\mathbf{x}; \rho),$$

- Energy

$$E^{\text{KS}}[\rho] = \sum_n F(\lambda_n) \lambda_n + \dots$$



- Schrödinger eq.  $\rightsquigarrow$  *Kohn–Sham equations*

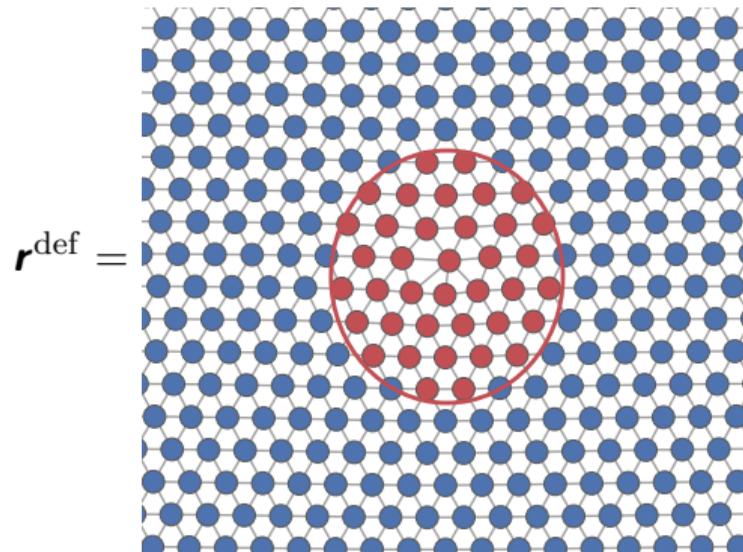
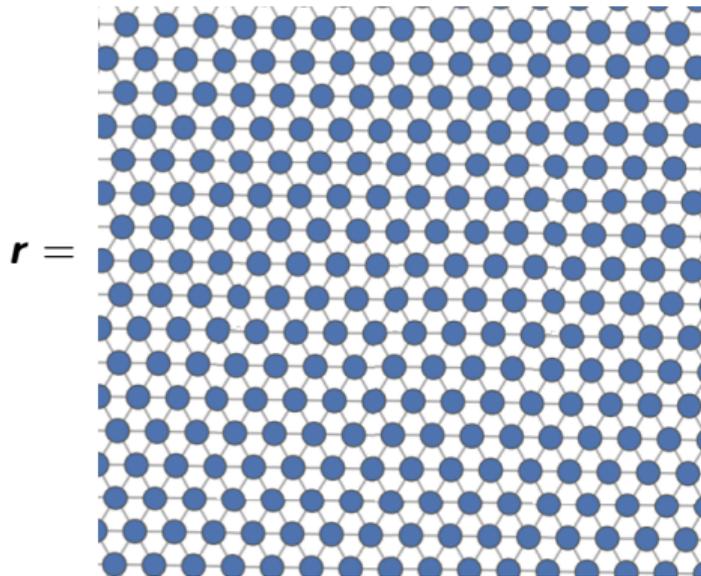
$$\mathcal{H}^{\text{KS}}\psi_n := \left( -\frac{1}{2}\Delta + V_{\text{eff}}(x; \rho) \right) \psi_n(x) = \lambda_n \psi_n(x), \quad \rho(x) = \sum_n F(\lambda_n) |\psi_n(x)|^2$$

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$$E^{\text{KS}}[\rho] = \sum_n \lambda_n F(\lambda_n) - \int \rho(x) V_{\text{eff}}(x; \rho) \\ + E_{\text{xc}}[\rho] + \frac{1}{2} \int \int \frac{\rho(x)\rho(y)}{|x-y|} dx dy - \sum_m Z_m \int \frac{\rho(x)}{|x-\mathbf{r}_m|} dx + E_{\text{ZZ}}$$

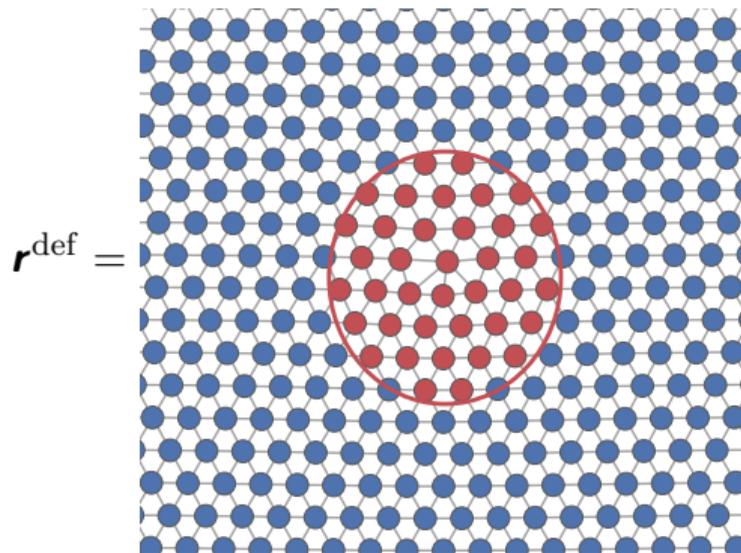
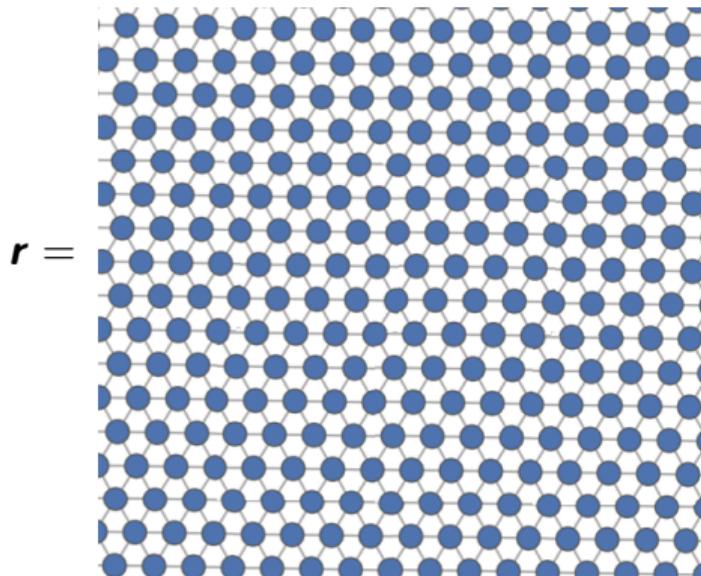
# Spectrum of the Hamiltonian



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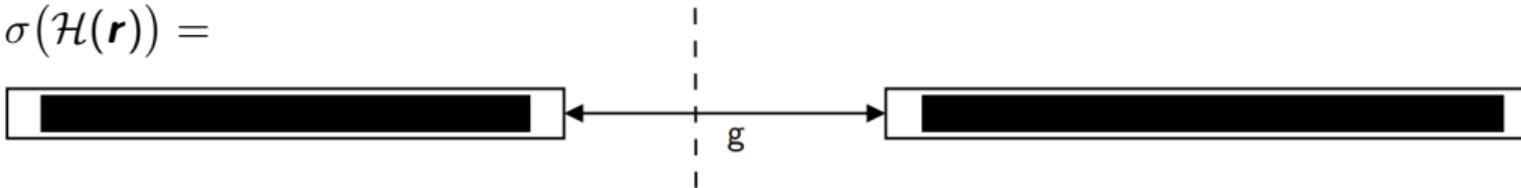
$$\{\ell: |\mathbf{r}_\ell^{\text{def}}| \leq R_{\text{def}}\} \text{ finite}$$

$$\sup_{\ell: |\mathbf{r}_\ell| > R_{\text{def}}} |\mathbf{r}_\ell^{\text{def}} - \mathbf{r}_\ell| \leq \delta$$

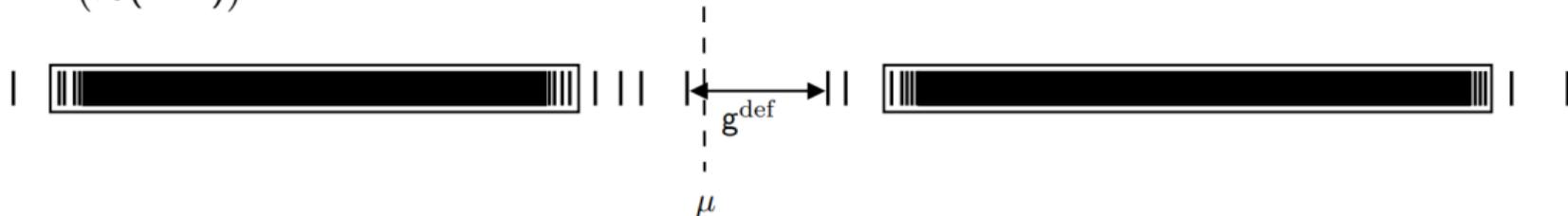


# Spectrum of the Hamiltonian: Insulators

$$\sigma(\mathcal{H}(\mathbf{r})) =$$



$$\sigma(\mathcal{H}(\mathbf{r}^{\text{def}})) =$$

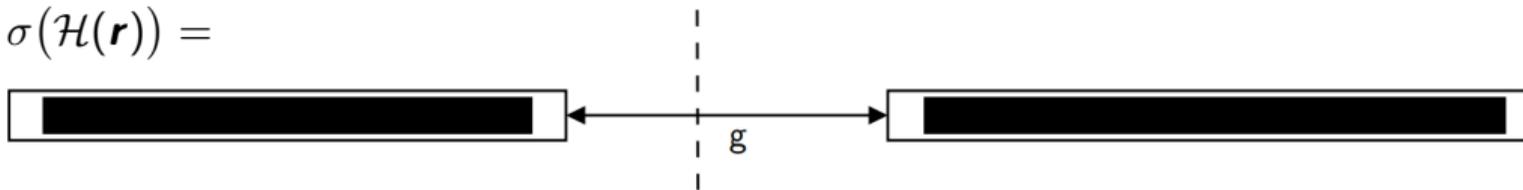


# Spectrum of the Hamiltonian: Insulators

Locality:

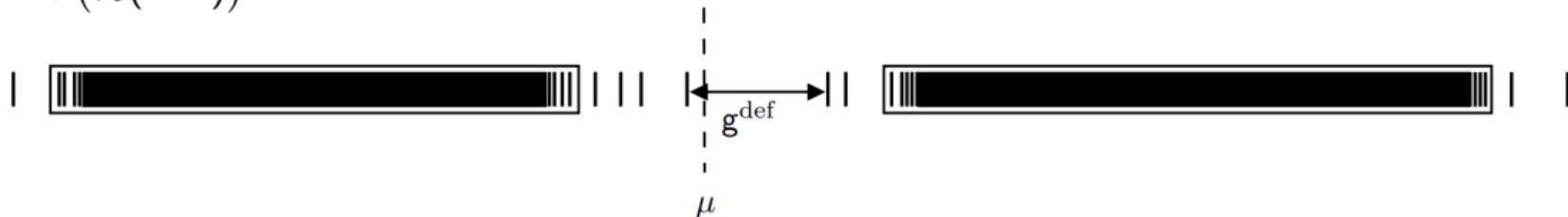
$$\left| \frac{\partial E_\ell(\mathbf{r})}{\partial \mathbf{r}_k} \right| \leq C e^{-\eta |\mathbf{r}_{\ell k}|}$$

$$\sigma(\mathcal{H}(\mathbf{r})) =$$



Back

$$\sigma(\mathcal{H}(\mathbf{r}^{\text{def}})) =$$

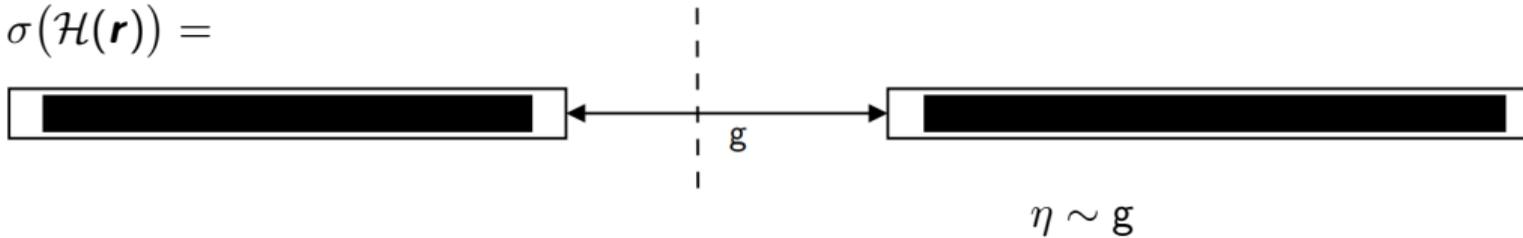


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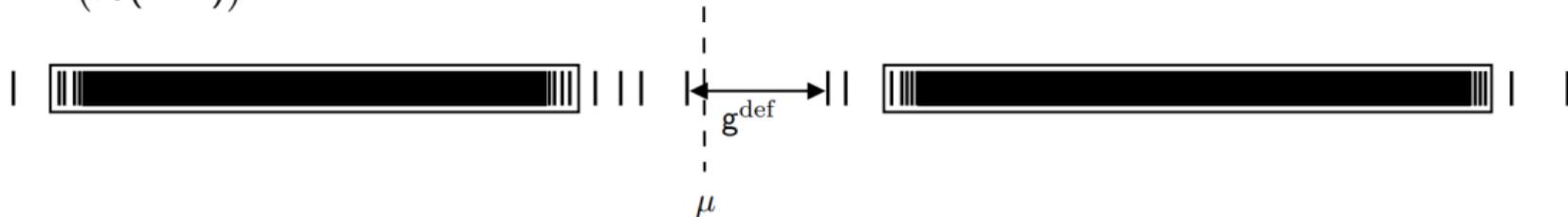
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$g$



$$\eta \sim g$$

Back

$$\sigma(\mathcal{H}(\mathbf{r}^{\text{def}})) =$$



$g^{\text{def}}$

$\mu$



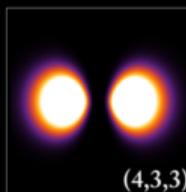
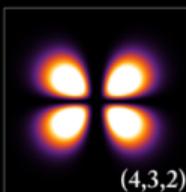
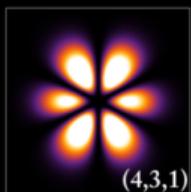
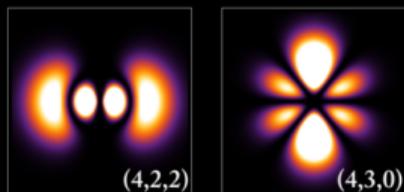
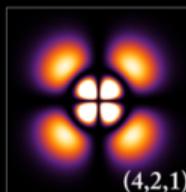
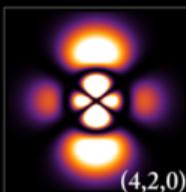
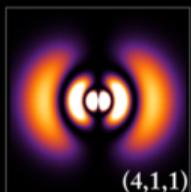
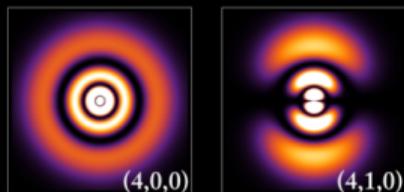
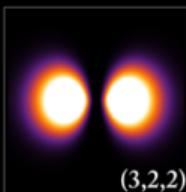
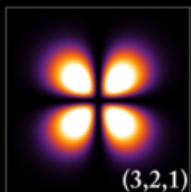
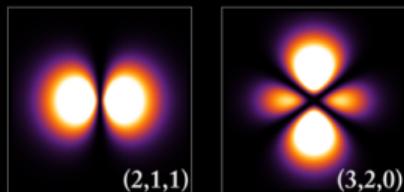
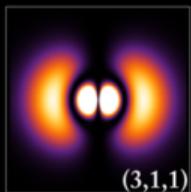
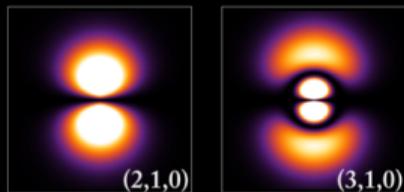
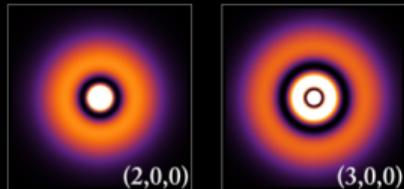
Improved estimate:

$$\eta \sim g \gg g^{\text{def}}$$

# Hydrogen Wave Function

Probability density plots.

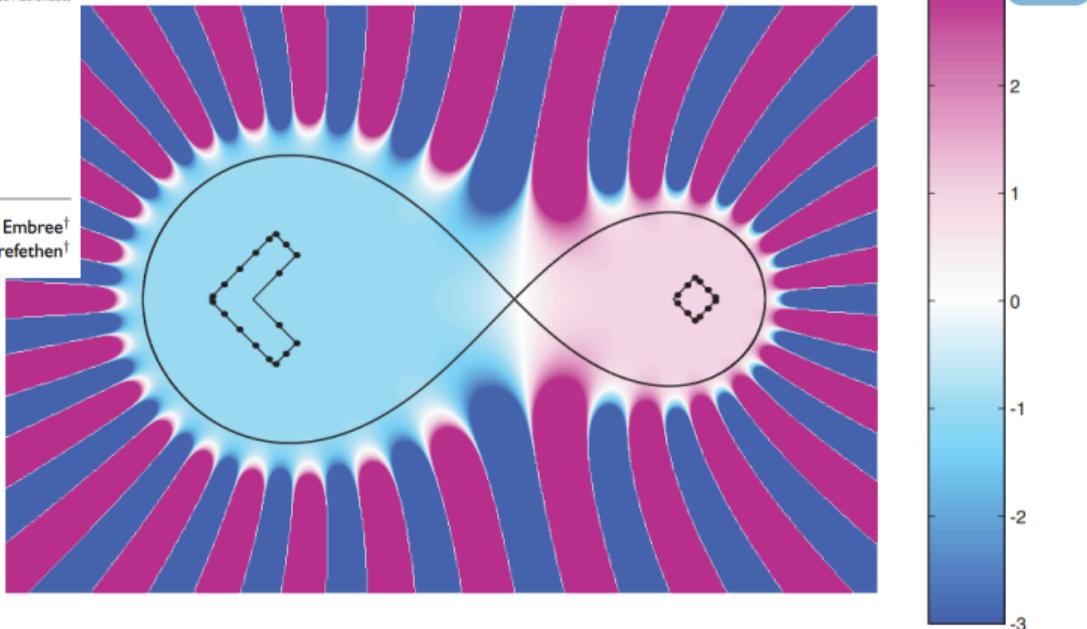
$$\psi_{nlm}(r, \vartheta, \varphi) = \sqrt{\left(\frac{2}{na_0}\right)^3 \frac{(n-l-1)!}{2n[(n+l)!]}} e^{-\rho/2} \rho^l L_{n-l-1}^{2l+1}(\rho) \cdot Y_{lm}(\vartheta, \varphi)$$



Back

## Green's Functions for Multiply Connected Domains via Conformal Mapping\*

Mark Embree<sup>†</sup>  
Lloyd N. Trefethen<sup>†</sup>



**Fig. 8** *Illustration of the overconvergence phenomenon of Theorem 2(b) and Theorem 4. On the same two-polygon region as in Figure 3, a polynomial  $p(z)$  is sought that approximates the values  $-1$  on the hexagon and  $+1$  on the square. For this figure,  $p$  is taken as the degree-29 near-best approximation defined by interpolation in 30 pre-images of roots of unity in the unit circle under the conformal map  $z = \Phi^{-1}(w)$  (eqs. (8) and (9)); a similar plot for the exactly optimal polynomial would not look much different. The figure shows  $\text{Rep}(z)$  by a blue-red color scale together with the polygons, the interpolation points, and the figure-8-shaped critical level curve of the Green's function. Not just on the polygons themselves, but throughout the two lobes of the figure-8,  $\text{Rep}(z)$  comes close to the constant values  $-1$  and  $+1$ . Outside, it grows very fast.*

# Vacuum cluster expansion

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Exact for  $N = J$ .

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Convergence? Rate of convergence? **Not clear!**

*“An intuitive explanation for this slow convergence is that we are building an interaction law for a condensed or possibly even crystalline phase material from clusters in vacuum where the bonding chemistry is significantly different.”*

Back

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Exact for  $N = J$ .

Convergence? Rate of convergence? **Not clear!**

Instead:

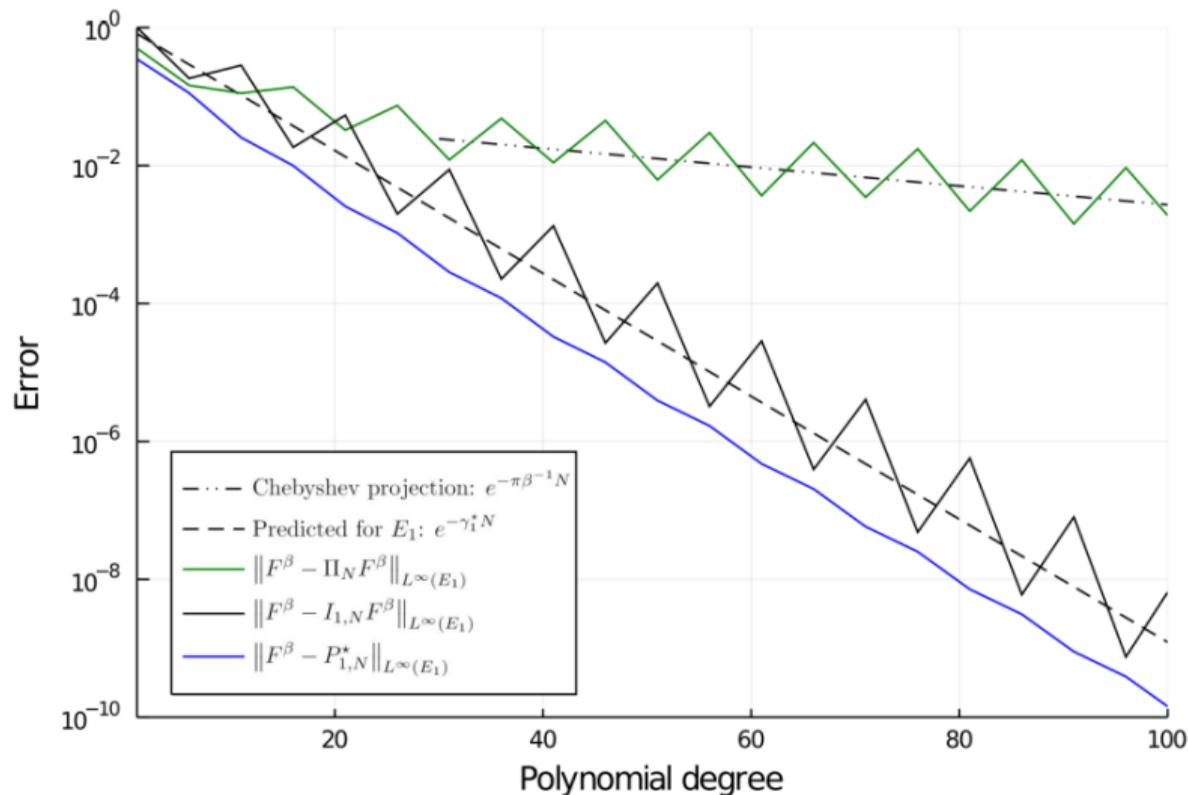
Replace  $V_n$  with  $V_{nN}$

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Back

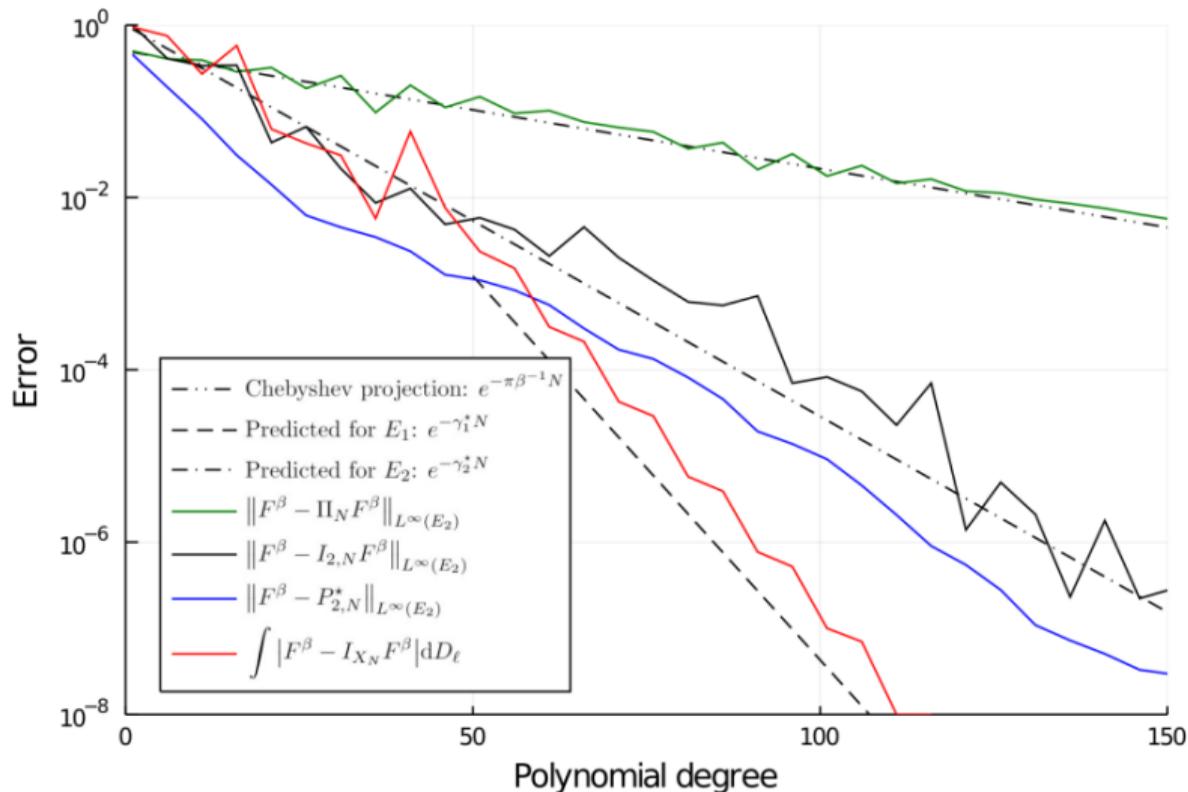
# Numerical experiments: “defect-free”

- Approximation domain  $E_1 = [-1, -0.2] \cup [0.2, 1]$



# Numerical experiments: with defect

- Approximation domain  $E_2 = E_1 \cup [-0.06, -0.03]$



- Fix  $[a, b] \supset \sigma(\mathcal{H})$ , maximise

$$S(P) := - \int_a^b [P(x) \log P(x) - P(x)] dx + \sum_{n=0}^N \lambda_n \left( \int_a^b x^n P(x) dx - [\mathcal{H}^n]_{\ell\ell} \right)$$

- Leads to

$$P_N(x) = e^{-\sum_{n=0}^N \lambda_n x^n} \quad \text{s.t. first } N \text{ moments}$$

- Moreover, if  $\{(\mathcal{H}^n)_{\ell\ell}\}$  is completely monotone, then  $\exists! P$ .

# Nonlinear schemes: Recursion method

- Let  $\{p_n\}$  orthogonal polynomials with respect to  $D_\ell$ :

$$b_{n+1}p_{n+1}(x) = (x - a_n)p_n(x) - b_np_{n-1}(x) \quad \text{[Lanczos recursion]}$$

define

$$T_N := \begin{pmatrix} a_0 & b_1 & & & \\ b_1 & a_1 & \ddots & & \\ & \ddots & \ddots & b_N & \\ & & b_N & a_N & \end{pmatrix} = \left( \int p_i(x)xp_j(x)dD_\ell(x) \right)_{0 \leq i,j \leq N},$$

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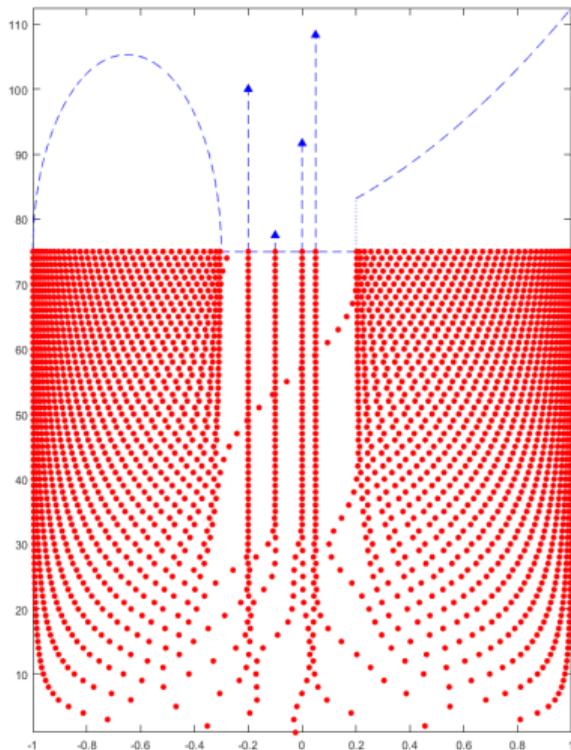
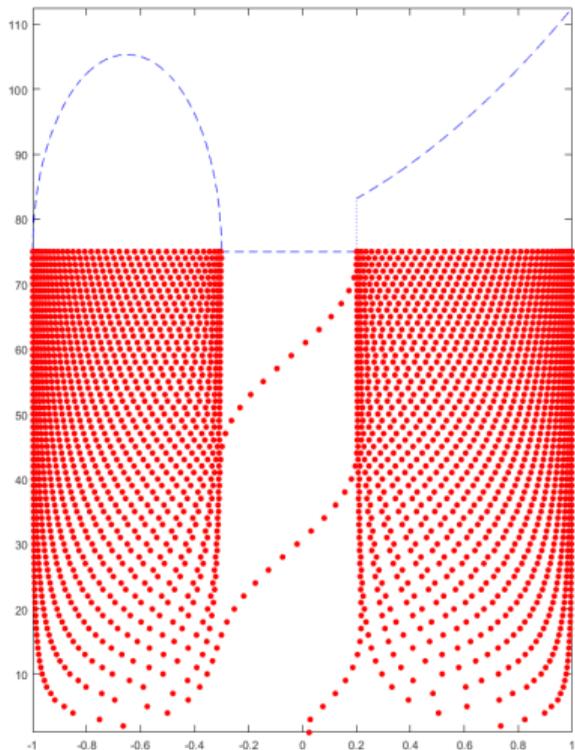
$$|E_\ell(\mathbf{r}) - E_\ell^N(\mathbf{r})| \leq 2 \inf_{\varepsilon_{2N+1} \in \mathcal{P}_{2N+1}} \|\varepsilon - \varepsilon_{2N+1}\|_{L^\infty(\sigma(\mathcal{H}) \cup \text{supp}(D_\ell^N))}$$

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$\text{supp}(D_\ell^N)$  "nice enough"

$$|E_\ell(\mathbf{r}) - E_\ell^N(\mathbf{r})| \leq 2 \inf_{\varepsilon_{2N+1} \in \mathcal{P}_{2N+1}} \|\varepsilon - \varepsilon_{2N+1}\|_{L^\infty(\sigma(\mathcal{H}) \cup \text{supp}(D_\ell^N))}$$

# Nonlinear schemes: Gauss quadrature

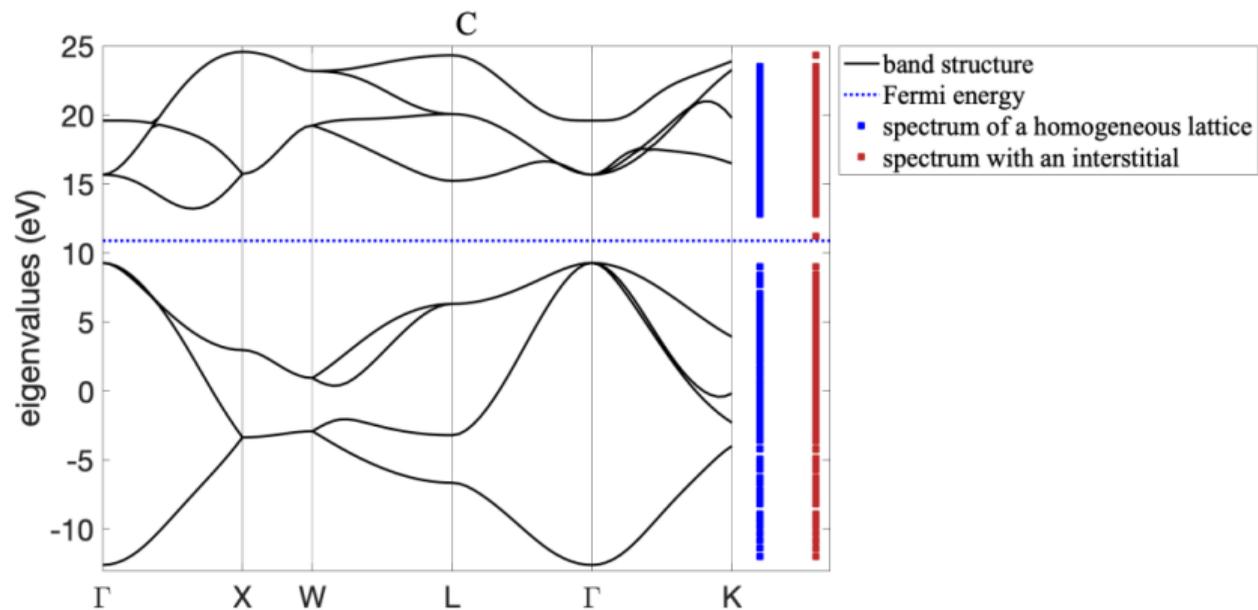
- Let  $\{p_n\}$  orthogonal polynomials with respect to  $D_\ell$ ,
- Interpolate in  $X := \{\text{zeros of } p_{N+1}\}$ ,
- $E_\ell^N := \sum_{j=0}^N \ell_j(\mathcal{H})_{\ell\ell} \varepsilon(x_j)$ ,
- Can show  $\omega_j := \ell_j(\mathcal{H})_{\ell\ell} \geq 0$  and  $\sum_j \omega_j = 1 \implies$

supp( $D_\ell^N$ ) "nice enough"

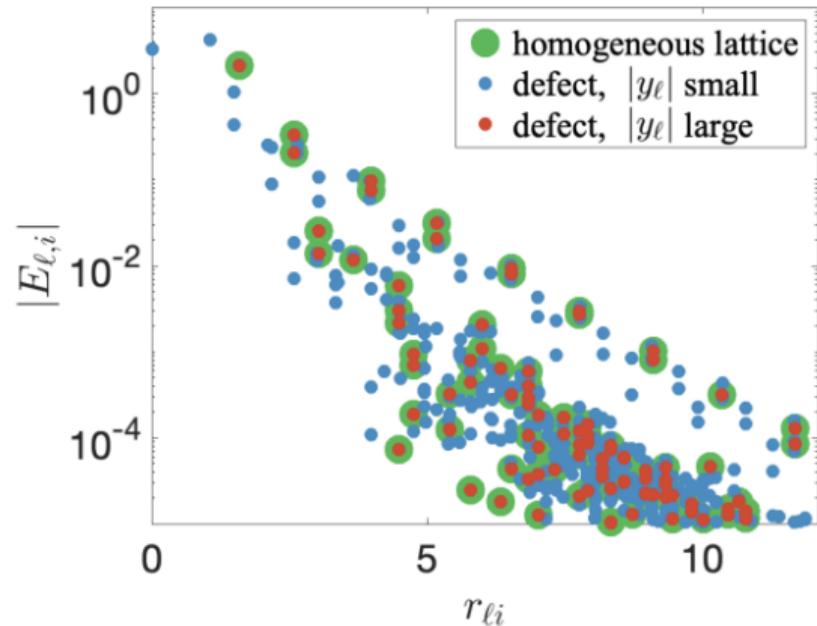
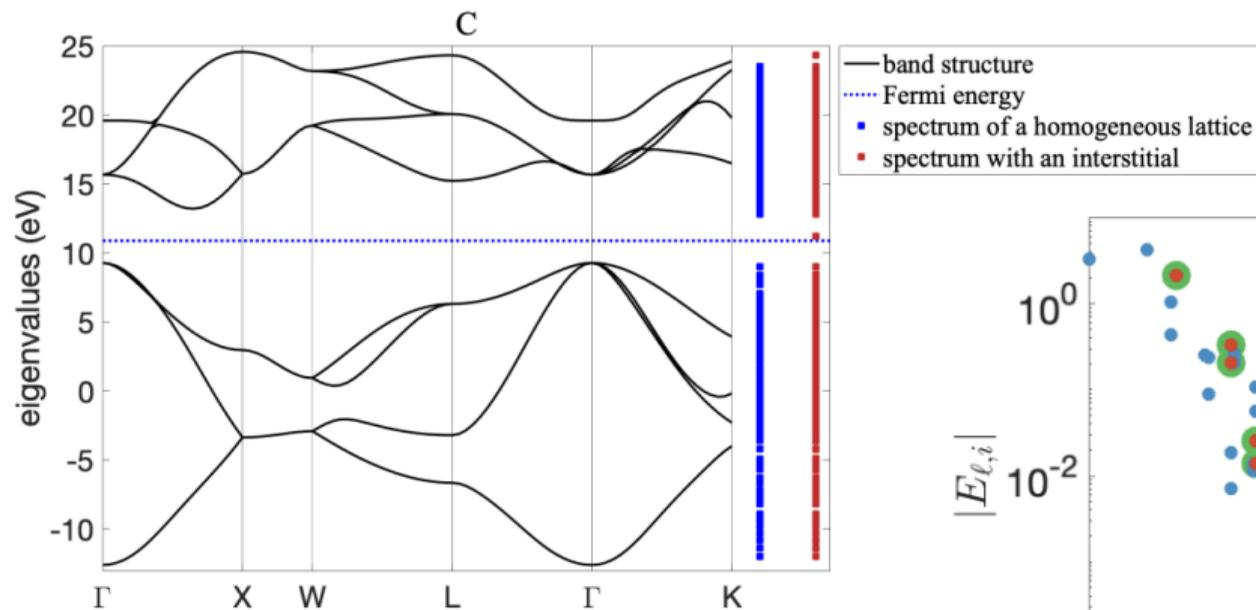
$$|E_\ell(\mathbf{r}) - E_\ell^N(\mathbf{r})| \leq 2 \inf_{\varepsilon_{2N+1} \in \mathcal{P}_{2N+1}} \|\varepsilon - \varepsilon_{2N+1}\|_{L^\infty(\sigma(\mathcal{H}) \cup \text{supp}(D_\ell^N))}$$

- Can show that  $E_\ell^N = \Theta(\mathcal{H}_{\ell\ell}, \dots, (\mathcal{H}^{2N+1})_{\ell\ell})$  where  $\Theta: \mathbb{C}^{2N+1} \rightarrow \mathbb{C}$  is analytic in open neighbourhoods of "admissible moment sequences"

# Numerical Experiments



# Numerical Experiments



[Back](#)

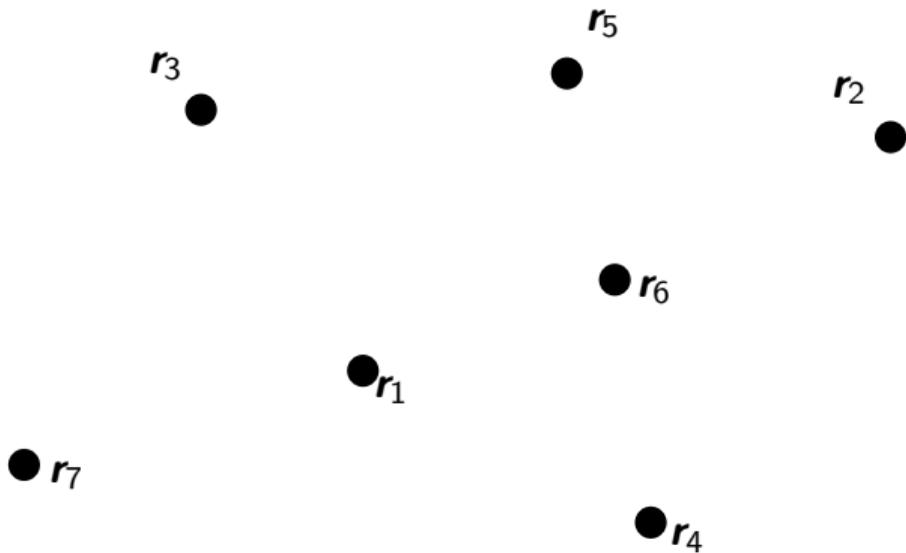
[Ortner, JT, Chen. *ESAIM: M2AN*, 2020]

(a) Decay of site energy derivatives. <sup>11</sup>

# Atomic Cluster Expansion (ACE)

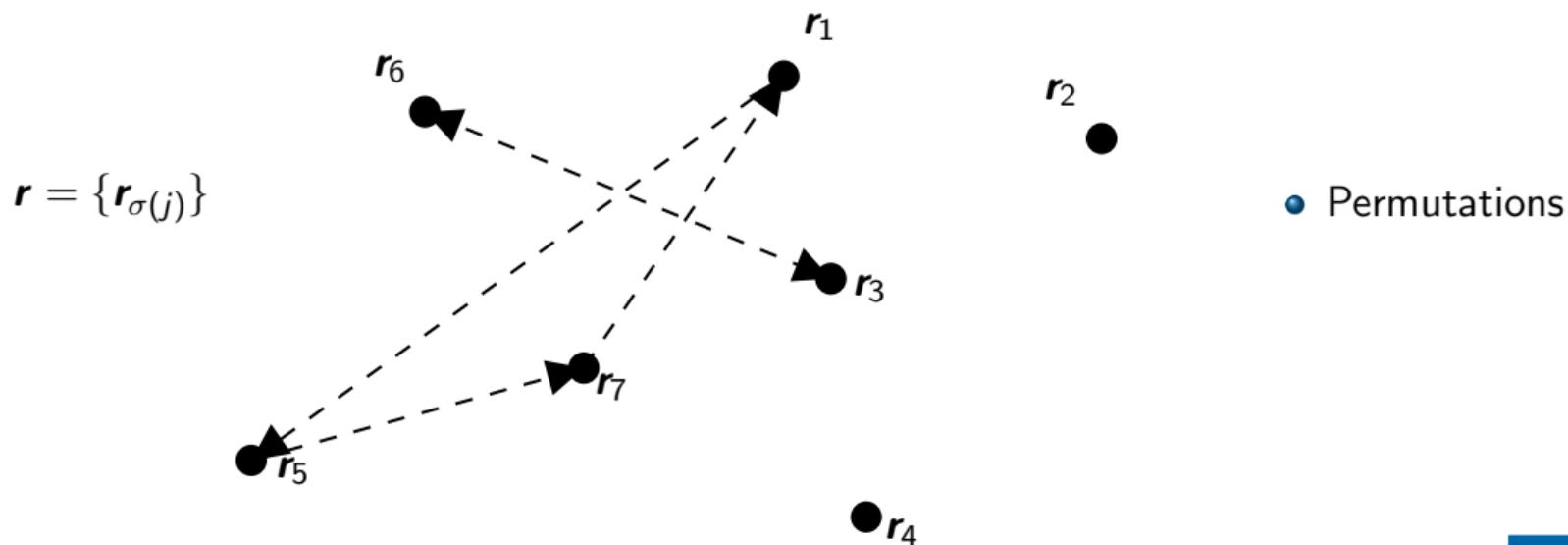
$$E: \bigcup_{J=0}^{\infty} \left\{ \{r_1, \dots, r_J\} \subset \mathbb{R}^3 \right\} \rightarrow \mathbb{R}$$

$$r = \{r_j\}$$



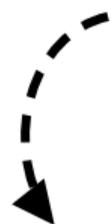
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$$Qr := \{Qr_j\}$$


$r_3$

$r_5$

$r_2$

$r_6$

$r_4$

$r_1$

$r_1$

- Permutations
- $Q \in O(3)$

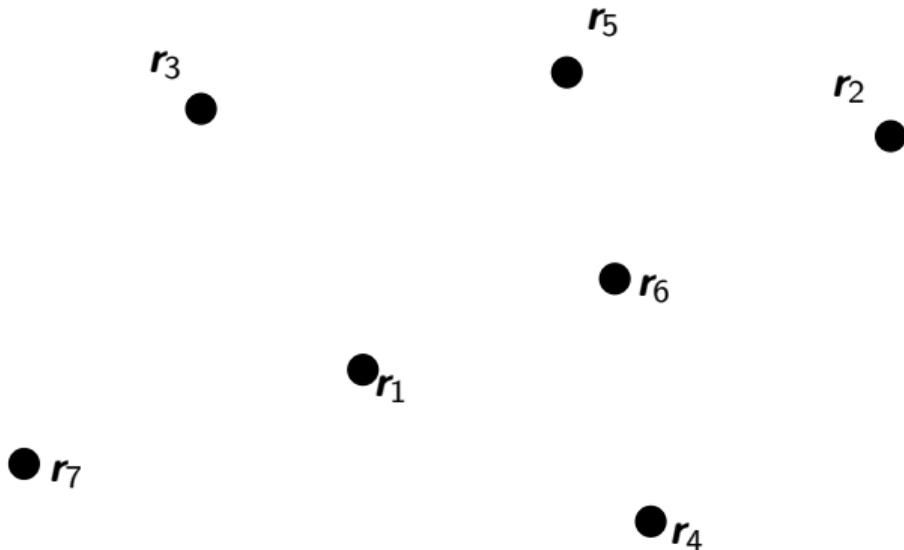
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*"In general, one aims to represent a complex fully many-body PES  $E$  (exactly or approximately) as a combination of 'simple' components, e.g., low-dimensional or low-rank"*

— Bachmayr et al. J. Comp. Phys. 454 (2022)

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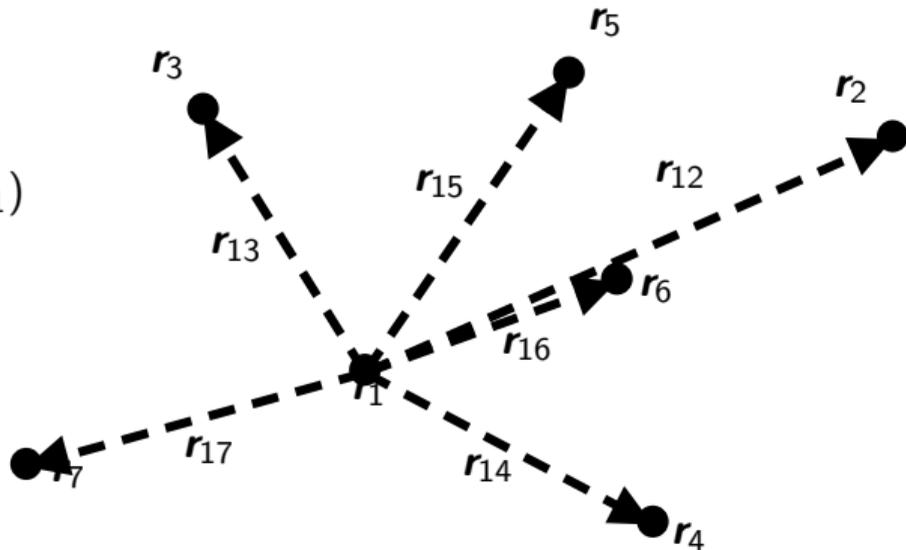
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$$E_1(\{r_{1k}\}_{k \neq 1})$$



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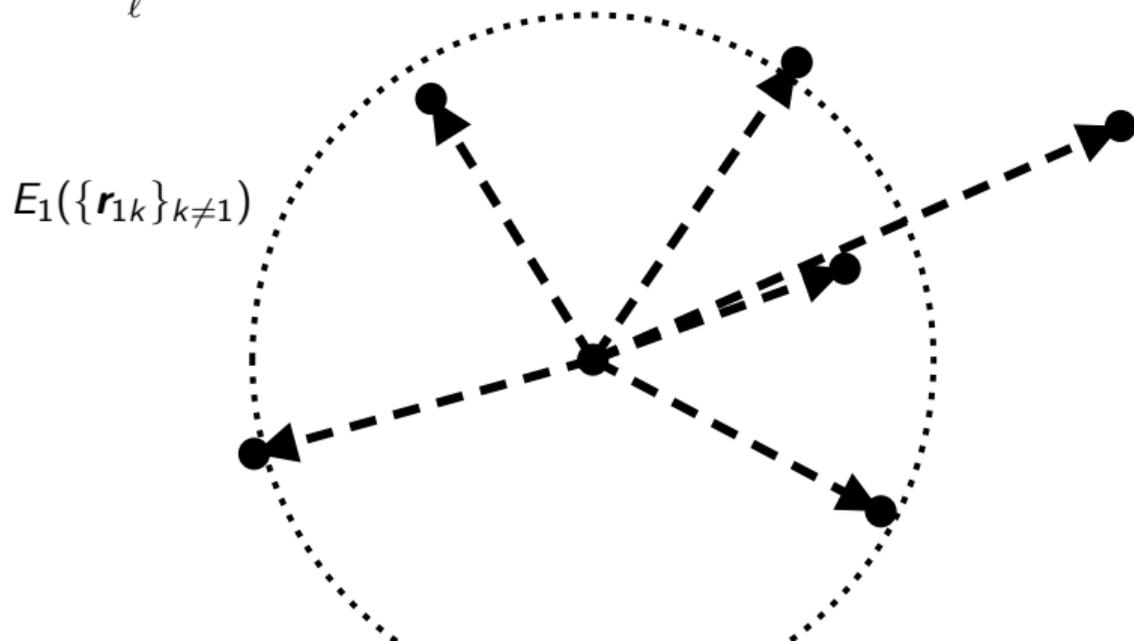
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- cut-off radius

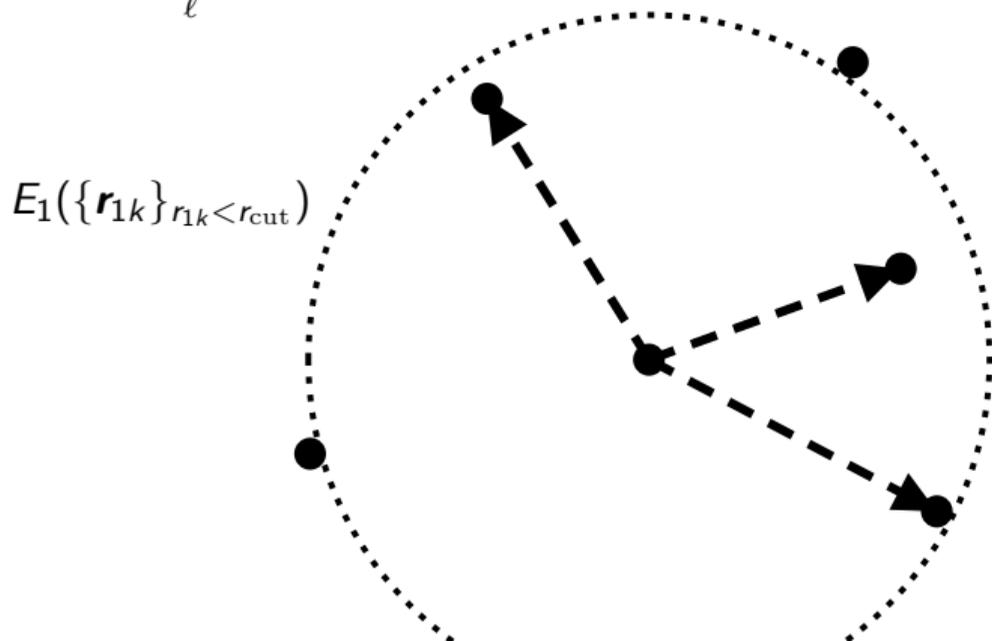
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# Tight Binding

- Recall:  $(\mathbf{r}_\ell, Z_\ell)$  (position, species) of atom  $\ell$ .  
Kohn–Sham eqs:  $\mathcal{H}^{\text{KS}}\psi_n = \lambda_n\psi_n$ ,

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*[assuming overlap matrix is the identity]*

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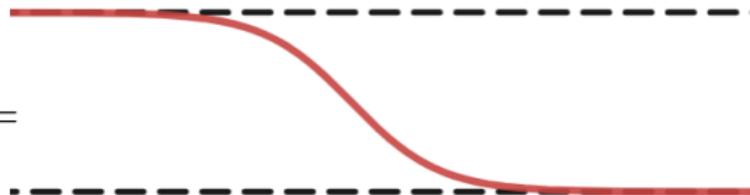
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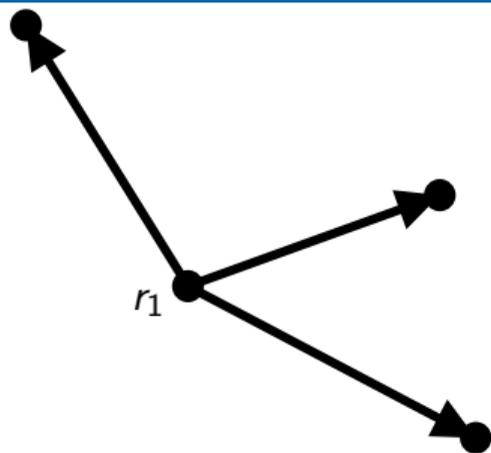
# Atomic Cluster Expansion

$$E: \bigcup_{J=0}^{\infty} \left\{ \{r_1, \dots, r_J\} \subset \mathbb{R}^3 \right\} \rightarrow \mathbb{R}$$

$$E = \sum_{\ell} E_{\ell}(\{r_{\ell k}\}_{r_{\ell k} < r_{\text{cut}}})$$

$$E_1 =$$

$$=$$

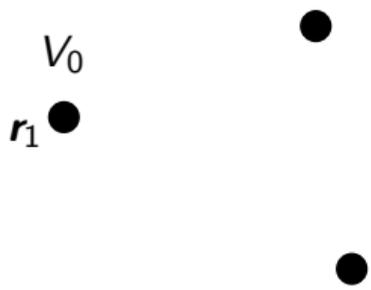


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$V_0$   
 $r_1$



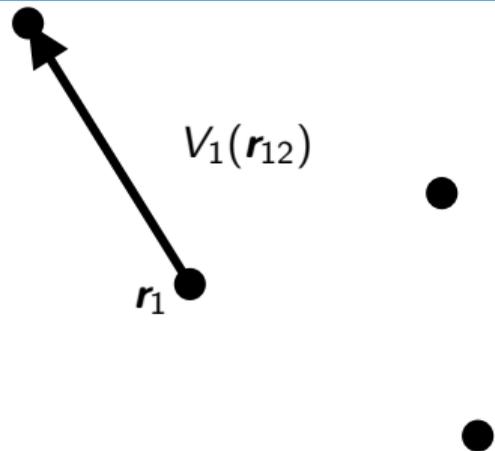
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$=$

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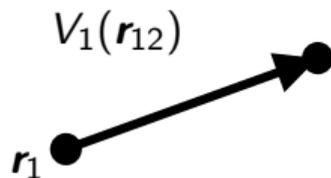
$$E_1 = V_0 +$$



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$$E_1 = V_0 + \sum_k V_1(\mathbf{r}_{1k}) +$$

A diagram illustrating the expansion of the first-order energy  $E_1$ . It shows two overlapping dashed circles. The left circle has a central dot. The right circle has a dot on its boundary, with an arrow pointing to it from the left. A plus sign is between the two circles.

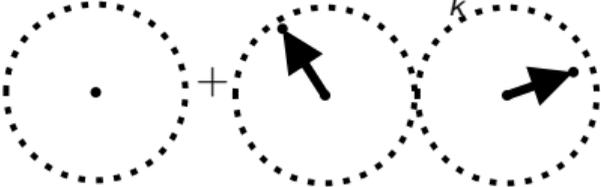
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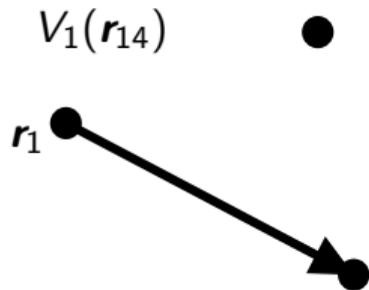
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=

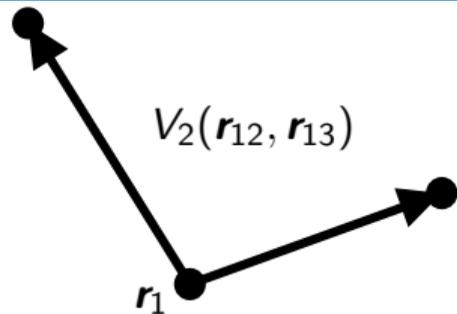




# Atomic Cluster Expansion

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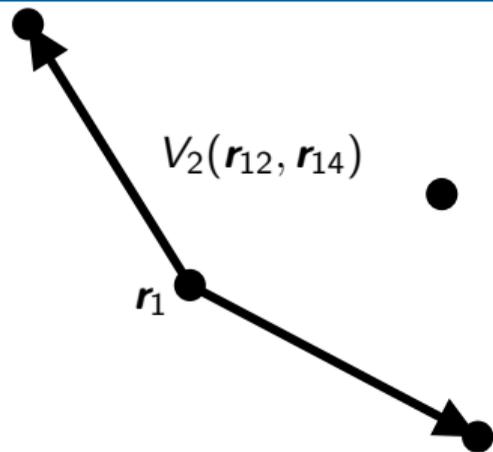
$$E_1 = V_0 + \sum_k V_1(\mathbf{r}_{1k}) +$$

A diagram illustrating the expansion of the one-body energy  $E_1$ . It shows a central atom (dot) surrounded by a dashed circle (representing  $V_0$ ). This is followed by a plus sign and a series of overlapping dashed circles, each containing an arrow pointing to a new atom (dot) within the circle, representing the addition of one-body potentials  $V_1(\mathbf{r}_{1k})$ .

# Atomic Cluster Expansion

$$E: \bigcup_{J=0}^{\infty} \{ \{ \mathbf{r}_1, \dots, \mathbf{r}_J \} \subset \mathbb{R}^3 \} \rightarrow \mathbb{R}$$

$$E = \sum_{\ell} E_{\ell}(\{ \mathbf{r}_{\ell k} \}_{r_{\ell k} < r_{\text{cut}}})$$



$$E_1 = V_0 + \sum_k V_1(r_{1k}) + \sum_{j < k} V_2(r_{1j}, r_{1,k}) +$$

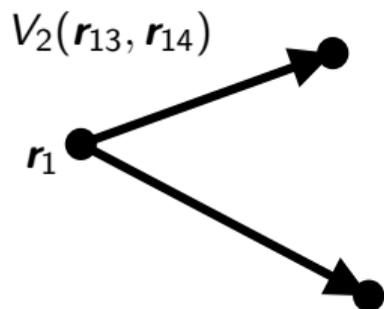
=

A series of four diagrams representing cluster terms, separated by plus signs. The first diagram shows a single atom in a dashed circle. The second diagram shows a central atom with an arrow pointing to another atom in a dashed circle. The third diagram shows a central atom with two arrows pointing to two other atoms in dashed circles. The fourth diagram shows a central atom with three arrows pointing to three other atoms in dashed circles.

# Atomic Cluster Expansion

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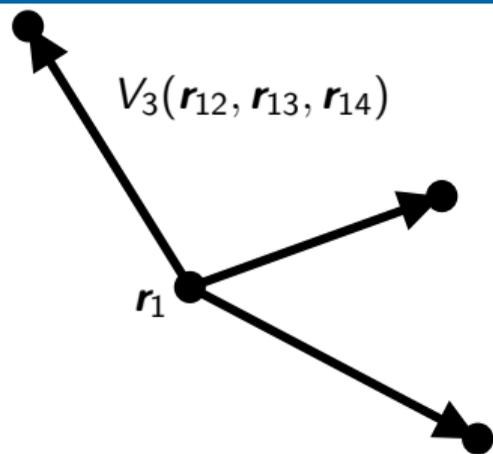
$$E_1 = V_0 + \sum_k V_1(r_{1k}) + \sum_{j < k} V_2(r_{1j}, r_{1,k}) + \dots$$

A diagram illustrating the expansion of the energy  $E_1$ . It shows a central point (atom 1) surrounded by several dashed circles representing the interaction shells. The first shell is labeled  $V_0$ . The second shell is labeled  $\sum_k V_1(r_{1k})$  and contains three arrows pointing to atoms within the shell. The third shell is labeled  $\sum_{j < k} V_2(r_{1j}, r_{1,k})$  and contains two arrows pointing to pairs of atoms within the shell. The expansion continues with a plus sign and a dotted line.

# Atomic Cluster Expansion

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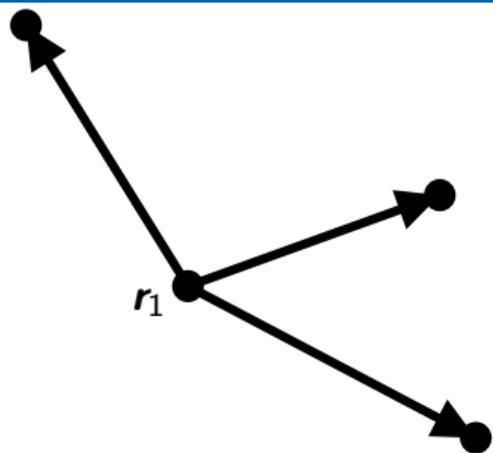
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=

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$$E_1 = V_0 + \sum_k V_1(\mathbf{r}_{1k}) + \sum_{j < k} V_2(\mathbf{r}_{1j}, \mathbf{r}_{1,k}) + V_3(\mathbf{r}_{12}, \mathbf{r}_{13}, \mathbf{r}_{14})$$

=

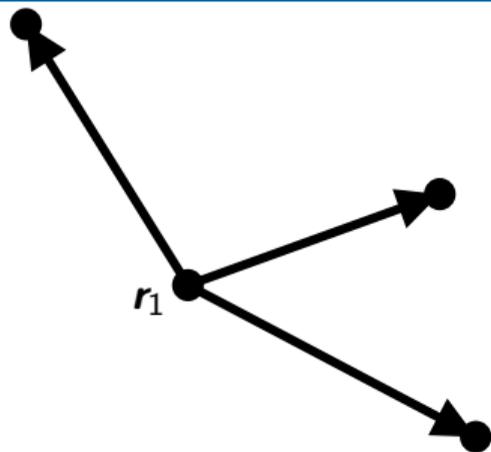
The diagram shows the expansion of the energy  $E_1$  into cluster terms. It consists of four main parts separated by plus signs:

- $V_0$ : A single central atom represented by a solid dot inside a dashed circle.
- $\sum_k V_1(\mathbf{r}_{1k})$ : Three dashed circles, each containing a central atom and one other atom connected by an arrow.
- $\sum_{j < k} V_2(\mathbf{r}_{1j}, \mathbf{r}_{1,k})$ : Three dashed circles, each containing a central atom and two other atoms connected by arrows.
- $V_3(\mathbf{r}_{12}, \mathbf{r}_{13}, \mathbf{r}_{14})$ : A dashed circle containing a central atom and three other atoms connected by arrows.

# Atomic Cluster Expansion

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$$E = \sum_{\ell} E_{\ell}(\{\mathbf{r}_{\ell k}\}_{r_{\ell k} < r_{\text{cut}}})$$



$$\begin{aligned}
 E_1 &= V_0 + \sum_k V_1(\mathbf{r}_{1k}) + \sum_{j < k} V_2(\mathbf{r}_{1j}, \mathbf{r}_{1,k}) + V_3(\mathbf{r}_{12}, \mathbf{r}_{13}, \mathbf{r}_{14}) \\
 &= \text{[Diagrammatic expansion of } E_1 \text{ terms]} \\
 &= \sum_{N=0}^{\mathcal{N}} \sum_{j_1, \dots, j_N} V_N(\mathbf{r}_{1j_1}, \dots, \mathbf{r}_{1j_N})
 \end{aligned}$$

The diagrammatic expansion shows the following terms:

- $V_0$ : A single atom represented by a central dot inside a dashed circle.
- $V_1$  terms: One, two, and three atoms, each represented by a dot and an arrow inside a dashed circle.
- $V_2$  terms: Pairs of atoms, each represented by two dots and two arrows inside a dashed circle.
- $V_3$  term: A triplet of atoms, represented by three dots and three arrows inside a dashed circle.

# Atomic Cluster Expansion

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- High dimensional,
- Many-body

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- $V_N$  defined on Euclidean space (fixed dimension)

$$E_{\ell} = \sum_{N=0}^{\mathcal{N}} \sum_{j_1 < \dots < j_N} V_N(\mathbf{r}_{\ell j_1}, \dots, \mathbf{r}_{\ell j_N})$$

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Approximate  $\mathbf{R} = (\mathbf{r}_1, \dots, \mathbf{r}_N) \mapsto V_N(\mathbf{R})$  where

- $V_N(\mathbf{R}) = 0$  if  $\max |\mathbf{r}_j| \geq r_{\text{cut}}$ ,
- $V_N(Q\mathbf{R}) = V_N(\mathbf{R})$  where  $Q\mathbf{R} = (Q\mathbf{r}_j)_{j=1}^N$ ,  $Q \in O(3)$ ,
- $V_N(\sigma\mathbf{R}) = V_N(\mathbf{R})$  where  $\sigma\mathbf{R} = (\mathbf{r}_{\sigma(j)})_{j=1}^N$ ,  $\sigma \in S_N$

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$$E = \sum_{\ell} E_{\ell}(\{\mathbf{r}_{\ell k}\}_{k \neq \ell})$$

- $V_N$  defined on Euclidean space (fixed dimension)

$$E_{\ell} = \sum_{N=0}^{\mathcal{N}} \sum_{j_1 < \dots < j_N} V_N(\mathbf{r}_{\ell j_1}, \dots, \mathbf{r}_{\ell j_N})$$

Approximate  $\mathbf{R} = (\mathbf{r}_1, \dots, \mathbf{r}_N) \mapsto V_N(\mathbf{R})$  where

- $V_N(\mathbf{R}) = 0$  if  $\max |\mathbf{r}_j| \geq r_{\text{cut}}$ ,
- $V_N(Q\mathbf{R}) = V_N(\mathbf{R})$  where  $Q\mathbf{R} = (Q\mathbf{r}_j)_{j=1}^N$ ,  $Q \in O(3)$ ,
- $V_N(\sigma\mathbf{R}) = V_N(\mathbf{R})$  where  $\sigma\mathbf{R} = (\mathbf{r}_{\sigma(j)})_{j=1}^N$ ,  $\sigma \in S_N$

Computationally efficient? For  $J \gg \mathcal{N}$ , naively scales like  $\binom{J}{\mathcal{N}} \sim \frac{J^{\mathcal{N}}}{\mathcal{N}!}$

# ACE: Approximate $V_N(\mathbf{R})$ where $\mathbf{R} = (\mathbf{r}_1, \dots, \mathbf{r}_N) \in \mathbb{R}^{3N}$

- 1-body basis:  $\phi_{nlm}(\mathbf{r}) = P_n(r)Y_l^m(\hat{\mathbf{r}})$ ,
- $N$ -body basis:  $\phi_{nlm}(\mathbf{r}_1, \dots, \mathbf{r}_N) := \prod_{j=1}^N \phi_{n_j l_j m_j}(\mathbf{r}_j)$

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$$\begin{aligned} \tilde{V}_N(\mathbf{R}) &= \sum_{\substack{(\mathbf{n}, \mathbf{l}, \mathbf{m}) \text{ ordered} \\ \sum_j l_j \text{ even}}} c_{nlm} \sum_{\sigma \in S_N} \int_{SO(3)} (\phi_{nlm} \circ \sigma)(Q\mathbf{R}) dQ \\ &= \sum_{\substack{(\mathbf{n}, \mathbf{l}) \text{ ordered, } i \\ \sum_j l_j \text{ even}}} \tilde{c}_{nli} \boxed{\sum_{\mathbf{m}} c_{\mathbf{m}}^{(nli)} \sum_{\sigma \in S_N} \phi_{nlm} \circ \sigma(\mathbf{R})} \end{aligned}$$

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$$\begin{aligned} \tilde{V}_N(\mathbf{R}) &= \sum_{\substack{(\mathbf{n}, \mathbf{l}, \mathbf{m}) \text{ ordered} \\ \sum_j l_j \text{ even}}} c_{nlm} \sum_{\sigma \in S_N} \int_{SO(3)} (\phi_{nlm} \circ \sigma)(Q\mathbf{R}) dQ \\ &= \sum_{\substack{(\mathbf{n}, \mathbf{l}) \text{ ordered, } i \\ \sum_j l_j \text{ even}}} \tilde{c}_{nli} \boxed{\sum_{\mathbf{m}} c_{\mathbf{m}}^{(nli)} \sum_{\sigma \in S_N} \phi_{nlm} \circ \sigma(\mathbf{R})} =: \mathcal{B}_{nli}(\mathbf{R}) \end{aligned}$$

(Naive) Cost: compute basis  $N!$ , evaluate following  $\binom{J}{N}$

$$\sum_{j_1 < \dots < j_N} \tilde{V}_N(\mathbf{r}_{j_1}, \dots, \mathbf{r}_{j_N}) = \sum_{nli} \tilde{c}_{nli} \sum_{j_1 < \dots < j_N} \mathcal{B}_{nli}(\mathbf{r}_{j_1}, \dots, \mathbf{r}_{j_N})$$

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(Naive) Cost: compute basis  $N!$ , evaluate following  $\binom{J}{N}$

$$\begin{aligned}
 \sum_{j_1 < \dots < j_N} \tilde{V}_N(\mathbf{r}_{j_1}, \dots, \mathbf{r}_{j_N}) &= \sum_{nli} \tilde{c}_{nli} \sum_{j_1 < \dots < j_N} \mathcal{B}_{nli}(\mathbf{r}_{j_1}, \dots, \mathbf{r}_{j_N}) \\
 \sum_{j_1 < \dots < j_N} \mathcal{B}_{nli}(\mathbf{r}_{j_1}, \dots, \mathbf{r}_{j_N}) &= \frac{1}{N!} \sum_{j_1 \neq \dots \neq j_N} \mathcal{B}_{nli}(\mathbf{r}_{j_1}, \dots, \mathbf{r}_{j_N}) = \frac{1}{N!} \sum_{j_1, \dots, j_N} \mathcal{B}_{nli}(\mathbf{r}_{j_1}, \dots, \mathbf{r}_{j_N}) + W_{N-1} \\
 \frac{1}{N!} \sum_{j_1, \dots, j_N} \mathcal{B}_{nli}(\mathbf{r}_{j_1}, \dots, \mathbf{r}_{j_N}) &= \frac{1}{N!} \sum_{j_1, \dots, j_N} \sum_{\mathbf{m}} \mathcal{C}_{\mathbf{m}}^{(nli)} \sum_{\sigma \in S_N} \phi_{\mathbf{n}l\mathbf{m}}(\mathbf{r}_{j_{\sigma(1)}}, \dots, \mathbf{r}_{j_{\sigma(N)}}) \\
 &= \sum_{\mathbf{m}} \mathcal{C}_{\mathbf{m}}^{(nli)} \sum_{j_1, \dots, j_N} \prod_{\alpha=1}^N \phi_{n_{\alpha}l_{\alpha}m_{\alpha}}(\mathbf{r}_{j_{\alpha}}) \\
 &= \boxed{\sum_{\mathbf{m}} \mathcal{C}_{\mathbf{m}}^{(nli)} \prod_{\alpha=1}^N \sum_{j=1}^J \phi_{n_{\alpha}l_{\alpha}m_{\alpha}}(\mathbf{r}_j)}
 \end{aligned}$$

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$$\begin{aligned}
 \sum_{j_1 < \dots < j_N} \tilde{V}_N(\mathbf{r}_{j_1}, \dots, \mathbf{r}_{j_N}) &= \sum_{nli} \tilde{c}_{nli} \sum_{j_1 < \dots < j_N} \mathcal{B}_{nli}(\mathbf{r}_{j_1}, \dots, \mathbf{r}_{j_N}) \\
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 \frac{1}{N!} \sum_{j_1, \dots, j_N} \mathcal{B}_{nli}(\mathbf{r}_{j_1}, \dots, \mathbf{r}_{j_N}) &= \frac{1}{N!} \sum_{j_1, \dots, j_N} \sum_{\mathbf{m}} \mathcal{C}_{\mathbf{m}}^{(nli)} \sum_{\sigma \in S_N} \phi_{\mathbf{n}l\mathbf{m}}(\mathbf{r}_{j_{\sigma(1)}}, \dots, \mathbf{r}_{j_{\sigma(N)}}) \\
 &= \sum_{\mathbf{m}} \mathcal{C}_{\mathbf{m}}^{(nli)} \sum_{j_1, \dots, j_N} \prod_{\alpha=1}^N \phi_{n_{\alpha}l_{\alpha}m_{\alpha}}(\mathbf{r}_{j_{\alpha}}) \\
 &= \boxed{\sum_{\mathbf{m}} \mathcal{C}_{\mathbf{m}}^{(nli)} \prod_{\alpha=1}^N \sum_{j=1}^J \phi_{n_{\alpha}l_{\alpha}m_{\alpha}}(\mathbf{r}_j)} =: \mathcal{B}_{nli}(\{\mathbf{r}_j\})
 \end{aligned}$$

(Naive) Cost: compute basis  $N!$ , evaluate following  $\binom{J}{N}$

$$\sum_{j_1 < \dots < j_N} \tilde{V}_N(\mathbf{r}_{j_1}, \dots, \mathbf{r}_{j_N}) = \sum_{nli} \tilde{c}_{nli} \sum_{j_1 < \dots < j_N} B_{nli}(\mathbf{r}_{j_1}, \dots, \mathbf{r}_{j_N})$$

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$$= \sum_{\mathbf{m}} \mathcal{C}_{\mathbf{m}}^{(nli)} \sum_{j_1, \dots, j_N} \prod_{\alpha=1}^N \phi_{n_{\alpha} l_{\alpha} m_{\alpha}}(\mathbf{r}_{j_{\alpha}}) \quad \text{ACE = expansion in terms of the basis}$$

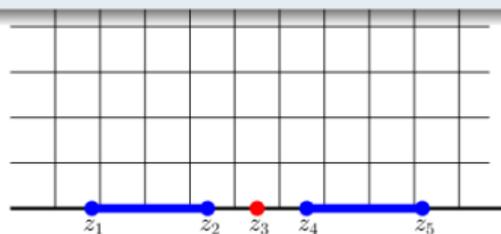
$$= \boxed{\sum_{\mathbf{m}} \mathcal{C}_{\mathbf{m}}^{(nli)} \prod_{\alpha=1}^N \sum_{j=1}^J \phi_{n_{\alpha} l_{\alpha} m_{\alpha}}(\mathbf{r}_j)} =: B_{nli}(\{\mathbf{r}_j\}) \quad \cup_{N=0}^{\infty} \{B_{nli} : \mathbf{n}, \mathbf{l} \in \mathbb{N}^N, \dots\}$$

$$\Sigma = [-1, a] \cup [b, 1]$$

Define  $g_{\Sigma}(z) := \operatorname{Re}G_{\Sigma}(z)$  where

$$z_3 \in [a, b] \text{ s.t. } G_{\Sigma}(a) = G_{\Sigma}(b)$$

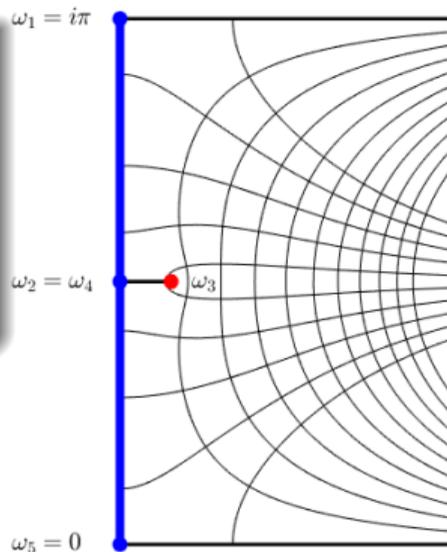
$$z_3 = \frac{\int_a^b \frac{\zeta}{\sqrt{\zeta+1}\sqrt{\zeta-a}\sqrt{\zeta-b}\sqrt{\zeta-1}} d\zeta}{\int_a^b \frac{1}{\sqrt{\zeta+1}\sqrt{\zeta-a}\sqrt{\zeta-b}\sqrt{\zeta-1}} d\zeta}$$



## Green's function problem

Find  $g_{\Sigma}$  s.t.

- $\Delta g_{\Sigma} = 0$  on  $\mathbb{C} \setminus \Sigma$ ,
- $g_{\Sigma}(z) \sim \log|z|$  as  $z \rightarrow \infty$ ,
- $g_{\Sigma} = 0$  on  $\Sigma$ .



$$\Sigma = [-1, a] \cup [b, 1]$$

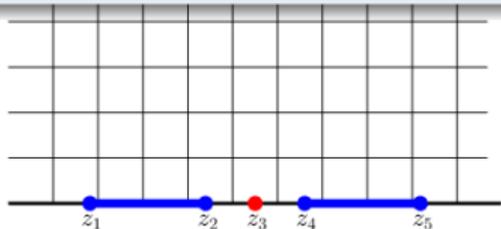
Define  $g_{\Sigma}(z) := \operatorname{Re}G_{\Sigma}(z)$  where

$$G_{[-1,a] \cup [b,1]}(z) = \int_1^z \frac{\zeta - z_3}{\sqrt{\zeta + 1}\sqrt{\zeta - a}\sqrt{\zeta - b}\sqrt{\zeta - 1}} d\zeta,$$

for some  $z_3 \in [a, b]$

$z_3 \in [a, b]$  s.t.  $G_{\Sigma}(a) = G_{\Sigma}(b)$

$$z_3 = \frac{\int_a^b \frac{\zeta}{\sqrt{\zeta + 1}\sqrt{\zeta - a}\sqrt{\zeta - b}\sqrt{\zeta - 1}} d\zeta}{\int_a^b \frac{1}{\sqrt{\zeta + 1}\sqrt{\zeta - a}\sqrt{\zeta - b}\sqrt{\zeta - 1}} d\zeta}$$



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